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# Fradkin, Fredkin or Fridkin?

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O. Salberger et al., J. Stat. Mech, 063103 (2018)

T. Udagawa and H. Katsura, J. Phys. A, 50, 405002 (2017)

#### Outline

# 1. Introduction

- Frustration-free systems
- Ferromagnetic XXX chain
- t (=q) deformed model
- 2. Fredkin spin chain
- 3. Main results
- 4. Super-frustration-free systems
- 5. Summary

#### Jewels of theoretical physics

- Classification of solvable models
  - Integrable systems
     Free fermions/bosons, Bethe ansatz
     Infinitely many conserved charges
  - Frustration-free systems Ground state (g.s.) minimizes each local Hamiltonian Explicit g.s., but hard to obtain excited states

Not exclusive. Ferromagnetic Heisenberg chain is *integrable* & *Frustration-free*! (H. Bethe, 1931)

#### Today's subject

- Frustration-free spin chains related to combinatorics
- Peculiar entanglement properties
   Non-area law behavior of EE (volume-law, ...)
- SUSY and super-frustration-free systems?



#### A crash course in inequalities

- Positive semidefinite operators Appendix in H.Tasaki, Prog. Theor. Phys. 99, 489 (1998).
  - $\mathcal{H}$ : finite-dimensional Hilbert space.
  - A, B: Hermitian operators on  $\mathcal{H}$
  - Definition 1. We write  $A \ge 0$  and say A is positive semidefinite (p.s.d.) if  $\langle \psi | A | \psi \rangle \ge 0$ ,  $\forall | \psi \rangle \in \mathcal{H}$ .
  - **Definition 2.** We write  $A \ge B$  if  $A B \ge 0$ .

#### Important lemmas

- Lemma 1.  $A \ge 0$  iff all the eigenvalues of A are nonnegative.
- Lemma 2. Let C be an arbitrary matrix on  $\mathcal{H}$ . Then  $C^{\dagger}C \ge 0$ . Cor. A projection operator  $P = P^{\dagger}$  is p.s.d.
- Lemma 3. If  $A \ge 0$  and  $B \ge 0$ , we have  $A + B \ge 0$ .

#### **Frustration-free systems**

■ Anderson's bound (*Phys. Rev.* **83**, 1260 (1951).

- Total Hamiltonian:  $H = \sum_j h_j$
- Sub-Hamiltonian:  $h_j$  that satisfies  $h_j \ge E_j^{(0)} \mathbf{1}$ . ( $E_j^{(0)}$  is the lowest eigenvalue of  $h_j$ )

(The g.s. energy of *H*) =: 
$$E_0 \ge \sum_i E_j^{(0)}$$

Gives a lower bound on the g.s. energy of AFM Heisenberg model.

#### Frustration-free Hamiltonian

The case where the *equality* holds.

**(Pseudo-)Definition.**  $H = \sum_{j} h_{j}$  is said to be *frustration-free* when the g.s. minimizes individual sub-Hamiltonians  $h_{j}$ .

Ex.) S=1 Affleck-Kennedy-Lieb-Tasaki (AKLT), Kitaev's toric code, ...  $H = \sum_{j} h_{j}, \quad h_{j} = S_{j} \cdot S_{j+1} + \frac{1}{3} (S_{j} \cdot S_{j+1})^{2}$ 

#### **Ferromagnetic Heisenberg chain**

■ Hamiltonian (S=1/2, OBC)

$$H = \sum_{j=1}^{N-1} h_j, \quad h_j = -S_j \cdot S_{j+1} + \frac{1}{4}$$

• SU(2) symmetry  $[H, S^{\alpha}_{tot}] = 0, \qquad S^{\alpha}_{tot} = \sum S^{\alpha}_{j} \quad (\alpha = z, +, -)$ 

N

i=1

•  $h_i$  is p.s.d. as it is a projector to singlet

$$h_{j} = |S_{j,j+1}\rangle\langle S_{j,j+1}|, \quad |S_{j,j+1}\rangle = \frac{1}{\sqrt{2}}(|\uparrow_{j}\downarrow_{j+1}\rangle - |\downarrow_{j}\uparrow_{j+1}\rangle)$$
  
Spin-singlet state

#### Ground states

• All-up state

is a zero-energy state of of each  $h_i \rightarrow \text{All-up state is a g.s. of } H$ 

- Other g.s.:  $(S_{\text{tot}}^-)^k | \Uparrow \rangle$  (k = 0, 1, ..., N)
- Unique in each total S<sup>z</sup> sector (due to Perron-Frobenius thm.)

#### **Graphical representation**

■ Spin configs  $\leftarrow \rightarrow$  lattice paths







- Spin state at site *j*:  $m_j = \pm 1/2$ Height between *j* and *j*+1:  $h_{j+1/2} = 2(m_1 + m_2 + \dots + m_j)$
- Eigenvalue of total  $S^z = (\text{the last height})/2$

#### ■ Graphical reps. of G.S.

- The states in S<sup>z</sup>=M sector
   → starting from height zero ending at h<sub>N+1/2</sub> = 2M
- G.s. in  $S^z = M$  sector
- Equal-weight superposition of all such states
- Local transition rule



#### t-deformed model

Hamiltonian (S=1/2, OBC) 
$$|S_{j,j+1}(t)\rangle = \frac{1}{\sqrt{1+t^2}} (|\uparrow_j\downarrow_{j+1}\rangle - t |\downarrow_j\uparrow_{j+1}\rangle$$
  
 $H = \sum_{j=1}^{N-1} h_j, \quad h_j = |S_{j,j+1}(t)\rangle\langle S_{j,j+1}(t)|$ 
 $t$ -deformed singlet (t>0)  
 $t \rightarrow 1$  SU(2) spin singlet

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• XXZ chain with boundary field

$$h_j \propto -\left[S_j^x S_{j+1}^x + S_j^y S_{j+1}^y + \frac{t+t^{-1}}{2} S_j^z S_{j+1}^z + \frac{t-t^{-1}}{4} (S_j^z - S_{j+1}^z)\right] + \text{const.}$$

•  $U_q(sl_2)$  with q=t. H commutes with  $J^z(t) = 2S_{\text{tot}}^z, \quad J^+(t) = \sum_{j=1}^N t^{\sigma_1^z} \cdots t^{\sigma_{j-1}^z} \sigma_j^+, \quad J^-(t) = (J^+(t))^\dagger$ 

Alcaraz et al., JPA 20 (1987), Pasquier-Saleur, NPB 330 (1990)

#### Ground states

- $| \Uparrow \rangle := | \uparrow_1 \uparrow_2 \cdots \uparrow_N \rangle$  annihilated by each  $h_j$  is a g.s. of H.
- Other g.s.:  $J^{-}(t)^{k} | \uparrow \rangle$  (k = 0, 1, ..., N) Unique in each *M* sector Alcaraz, Salinas, Wreszinski, *PRL* **75** (1995), Gottstein, Werner (1995).

# **Graphical representation**

#### ■ Graphical g.s.

- Local g.s. of  $h_j = |\uparrow_j\uparrow_{j+1}\rangle, \quad |\downarrow_j\downarrow_{j+1}\rangle, \quad t \mid \uparrow_j\downarrow_{j+1}\rangle + |\downarrow_j\uparrow_{j+1}\rangle$
- Transition rule



Area difference

 $\rightarrow$  coefficient *t* 

path

- G.s. in  $S^z = M$  sector
- = Area-weighted superposition of states s.t.  $h_{N+1/2} = 2M$  $\left[\begin{array}{c}n\\m\end{array}\right]$

• 
$$\langle \Psi_M | \Psi_M 
angle$$
 as a  $q$ -binomial

# **Anything to do with Fridkin?**

- Not that much...
- Studied a non-frustration-free case  $t^3 = q^3 = -1$ Fridkin, Stroganov, Zagier, JPA 33 (2000); JSP 102 (2001)
- G.s. energy takes a very simple form! No finite-size effect.

 $|\Psi_0\rangle = \sum t^{\mathcal{A}(\text{path})/2}$ 

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#### Outline

#### **1. Introduction**

# 2. Fredkin spin chain

- Colorless (spin-1/2) model w/ & w/o deformation
- Colorful (higher-spin) model w/ & w/o deformation
- 3. Main results
- 4. Super-frustration-free systems
- 5. Summary

#### Fredkin's work

- Edward Fredkin (1934-)
  - Physicist and computer scientist. Early pioneer of Digital Physics.
  - Primary contributions to reversible computing and cellular automata (from *Wikipedia*)

#### Fredkin gate

• Controlled swap that maps  $(c,a,b) \rightarrow (c,a',b')$ 



- (Classically) universal, i.e., any logical or arithmetic operation can be constructed entirely of Fredkin gates.
- Quantum version = unitary logic gate
   Implementation using photons: R.B.Patel et al., Sci. Adv. 2 (2016)

Generalization

#### Fredkin spin chain

■ Hamiltonian (S=1/2, OBC, N even)

$$H = H_{\partial} + \sum_{j=1}^{N-1} h_j$$

Salberger and Korepin, *Rev. Math. Phys.* **29** (2017)

Boundary term

 $H_{\partial} = |\downarrow_1\rangle\langle\downarrow_1| + |\uparrow_N\rangle\langle\uparrow_N|$ 

• Bulk terms ~ Fredkin gates





 $h_{j} = |\uparrow_{j}\rangle\langle\uparrow_{j}|\otimes|S_{j+1,j+2}\rangle\langle S_{j+1,j+2}|+|S_{j,j+1}\rangle\langle S_{j,j+1}|\otimes|\downarrow_{j+2}\rangle\langle\downarrow_{j+2}|$ 

- Lacks SU(2) symmetry, but preserves U(1). PT-like symmetry
- Equivalence classes



H is block-diagonal w.r.t. disconnected sectors

#### **Ground states**

- $\blacksquare \text{Importance of} \quad H_{\partial} = |\downarrow_1\rangle \langle \downarrow_1 | + |\uparrow_N\rangle \langle \uparrow_N |$ 
  - G.S. of the bulk term  $\rightarrow$  highly degenerate!

- Only one of them is annihilated by  $H_{\partial}$
- Dyck paths
  - Paths from (0,0) to (*N*,0)
  - Never pass below the x axis
- Graphical reps. of g.s.
  - Equal-weight superposition of all Dyck paths
  - FM state with M=0 projected to the Dyck sector
  - Catalan number!  $\langle \Psi_0 | \Psi_0 \rangle = \frac{1}{n+1} {\binom{2n}{n}}, \quad N = 2n$



Penalized by  $H_{\partial}$ 

#### t-deformed model

■ Hamiltonian (S=1/2, OBC) Salberger et al., J.Stat.Mech. (2017)

$$H = H_{\partial} + \sum_{j=1}^{N-1} h_j$$

- Bulk terms
  - $h_{i} = |\uparrow_{i}\rangle\langle\uparrow_{i}| \otimes |S_{i+1,i+2}(t)\rangle\langle S_{i+1,i+2}(t)|$  $+ |S_{i,i+1}(t)\rangle\langle S_{i,i+1}(t)|\otimes |\downarrow_{i+2}\rangle\langle\downarrow_{i+2}|$
- The same equivalence classes as t=1

#### ■ Graphical g.s.

- Area-weighted superposition of all Dyck paths
- Unique g.s.
- Projection of the g.s. of DW XXZ
- Carlitz-Riordan q(=t) Catalan number

$$H_{\partial} = |\downarrow_1\rangle\langle\downarrow_1| + |\uparrow_N\rangle\langle\uparrow_N|$$

Boundary term

path

$$|S_{1,2}(t)\rangle = \frac{|\uparrow_1\downarrow_2\rangle + |\downarrow_1\uparrow_2\rangle}{\sqrt{1+t^2}}$$

*t*-deformed singlet (*t*>0)

$$\langle \Psi_0 | \Psi_0 \rangle = \sum_{\text{path}} t^{\mathcal{A}(\text{path})}$$

$$|\Psi_0\rangle = \sum_{\text{path}} t^{\mathcal{A}(\text{path})/2}$$

# **Colorful (higher-spin) model**

■ Spin states  $\leftarrow$  → colored steps (c = 1, 2, ..., s)

 $+\left(c-\frac{1}{2}\right)$   $\longleftrightarrow$  up step with color  $c =:\uparrow^{c}$ 

 $-(c-\frac{1}{2})$   $\longleftrightarrow$  down step with color  $c =: \downarrow^c$ 

#### Colored Dyck paths

- Paths from (0,0) to (*N*,0)
- Never go below the x axis
- Matched ↑ and ↓ steps have the same color

#### Frustration-free models

- Undeformed model: Salberger and Korepin, Rev. Math. Phys. 29 (2017)
- Deformed model: Salberger et al., J.Stat.Mech. (2017)



# s=3 (spin-5/2) $1/2 \quad 3/2 \quad 5/2$ $-1/2 \quad -3/2 \quad -5/2$

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#### **Ground states**

- Hamiltonian (OBC)
  - Boundary term:  $H_{\partial}(s) = \sum_{c=1}^{s} (|\downarrow_1^c\rangle \langle \downarrow_1^c| + |\uparrow_N^c\rangle \langle \downarrow_N^c|)$
  - Bulk term:  $H_F(s,t) + H_X(s)$  Sum of projectors.
  - Transition rules



Invariant under permutation of colors

#### ■ Graphical g.s.

- Area-weighted superposition of all colored Dyck paths
- Unique g.s. of H
- Normalization  $\langle \Psi_0^{(s)}|\Psi_0^{(s)}
  angle=s^{N/2}\langle \Psi_0^{(1)}|\Psi_0^{(1)}
  angle$

Norm for colorless (s=1) model

 $|\Psi_0\rangle = \sum t^{\mathcal{A}(\text{path})/2}$ 

path

#### Movassagh-Shor's integer spin chains

#### Frustration-free models

- Spin-1: Bravyi et al., PRL 109 (2012)
- Spin-s: Movassagh and Shor, PNAS 113 (2016)
- Deformed model: Zhang, Ahmadain, Klich, PNAS 114 (2017)
- Colored Motzkin paths
  - Flat step  $\rightarrow$  *m*=0, up/down step with  $c \rightarrow m = \pm c (c=1,...,s)$
  - Paths from (0,0) to (*N*,0)
  - Never go below the x axis
  - Matched ↑ and ↓ steps have the same color



#### Ground state

- Equal (or weighted) superposition of all colored Motzkin paths
- Peculiar entanglement properties. Critical at *t*=1 (undeformed case)

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#### Outline

- **1. Introduction**
- 2. Fredkin spin chain

# 3. Main results

- Half-chain entanglement
- Volume-law when s>1 and t>1
- Other results
- 4. Super-frustration-free systems
- 5. Summary

#### **Quantum entanglement**

- Schmidt decomposition
  - Many-body g.s. (normalized)

$$|\Psi\rangle = \sum_{\alpha=1}^{\chi} \sqrt{p_{\alpha}} \, |\phi_{\alpha}^{A}\rangle \otimes |\phi_{\alpha}^{B}\rangle$$



 $\alpha = 1$ 

- Orthonormal states  $\phi_{\alpha}^{A} \in \mathcal{H}_{A}, \phi_{\alpha}^{B} \in \mathcal{H}_{B} \{ \phi_{\alpha}^{A} \}, \{ \phi_{\alpha}^{B} \} \}$
- Schmidt coefficient  $p_{\alpha}$ Schmidt rank  $\chi$  = (The number of  $p_{\alpha} \neq 0$ )
- **Reduced density matrix**  $\rho_A = \text{Tr}_B |\Psi\rangle\langle\Psi| = \sum_{\alpha} p_{\alpha} |\phi_{\alpha}^A\rangle\langle\phi_{\alpha}^A|$ 
  - Entanglement (von Neumann) entropy

$$S = -\mathrm{Tr}\rho_A \log \rho_A = -\sum_{\alpha=1}^{\chi} p_\alpha \log p_\alpha$$

• Entanglement spectrum Li and Haldane, *PRL* 101 (2008)  $p_{\alpha} = e^{-\xi_{\alpha}}$   $(\alpha = 1, 2, ...)$ 

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# **Entanglement in Fredkin chain**

- Scaling of Entanglement entropy (EE) in 1D
  - Area law: S is bounded by a constant
  - Volume law:  $S \propto L$
  - CFT scaling:  $S \propto \log L$
  - Gapped spectrum → area law (Hastings' thm., *J.Stat.Mech.* (2007))
- EE phase diagram
  - Colorless (s=1) case

 $0 \qquad t = 1 \qquad t$  $S = O(1) \qquad S \propto \log L \qquad S = O(1)$ 

- Colorful (s>1) case  $\begin{array}{c|c} 0 & t = 1 \\ \hline \\ S = O(1) & S \propto \sqrt{L} \\ \end{array} \xrightarrow{f} S \propto L \log s$
- Non-area law implies gapless spectrum above g.s.
- Volume law when *s*>1, *t*>1 though *H* consists of local terms

Cf.) Vitagliano *et al., NJP* **12** (2010); Ramirez *et al., J.Stat.Mech* (2014)

Contraposition



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#### Half-chain entanglement

- System size: 2n, subsystem=left half
- EE of subsystem A:  $S_n(s,t)$
- q-ballot numbers (q=t)
  - $w \in C_{n,m}$ : A path from (0,0) to (*n*,*m*)
  - A(w): Area between w and the x-axis
  - $M_{n,m}(t) = \sum_{w} t^{\mathcal{A}(w)}$  (0,0) Ex.)  $M_{6,2}(t) = t^{14} + t^{12} + 2t^{10} + 2t^8 + 2t^6 + t^4$



 $M_{n,m} = 0$ if n - m is odd.

# $\blacksquare \text{ EE in terms of } M_{n,m}$ $S_n(s,t) = -\sum_{m=0}^n p_{n,m}(s,t) \log p_{n,m}(s,t) \qquad p_{n,m}(s,t) = s^{-m} \frac{\{M_{n,m}(t)\}^2}{M_{2n,0}(t)}$

• Normalization  $M_{2n,0}(t) \rightarrow \text{Carlitz-Riordan } q$ -Catalan num.



#### Theorem

When t > 1, the EE  $S_n(s,t)$  satisfies  $n \log s + D_1(s,t) \le S_n(s,t) \le n \log s + D_2(t) + D_3(t)$ , where  $D_1(s,t)$ ,  $D_2(t)$ , and  $D_3(t)$  are constants independent of n.

Proof) Based on Lemma and Gibbs inequality.

- $s=1 \rightarrow$  Area law,  $s>1 \rightarrow$  Volume law
- For s>1 and t infinity, each matched pair is maximally entangled.





- Gap for *t*<<1 can be proved using Knabe's method.
- Power law at *t*=1. Movassagh, arXiv:1609.09160.
- Exponentially or super-exponentially small gap for t>1. Udagawa-Katsura, JPA 50 (2017); Zhang-Klich, JPA 50 (2017)

#### Fradkin's work

#### Eduardo Fradkin $\neq$ Edward Fredkin

- Argentinian-American theoretical physicist at University of Illinois at Urbana-Champaign.
- Working in various areas of cond-mat. physics (FQHE etc.) using QFT approaches (from *Wikipedia*)

#### Fradkin's paper on Fredkin chain

- Chen, Fradkin, Witczak-Krempa, JPA 50, 464002 (2017)
- Quantum Lifshitz model in 1+1D
   Continuum counterpart (≠ continuum limit) of Fredkin chain
   Dynamical exponent z = 2
- DMRG study on the original (lattice) model z ~ 3.23 (z<sub>0</sub> ~ 2.76) for the lowest excitation with  $S_{tot}^z = \pm 1 (0)$
- Fredkin-Heisenberg chain  $H_{\text{bulk}} = \alpha H_F + 2(1-\alpha)H_H$

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#### Outline

- **1. Introduction**
- 2. Fredkin spin chain
- 3. Main results
- 4. Super-frustration-free systems
- N=1 SUSY QM
- Local supercharges
- Majorana-Nicolai model
- 5. Summary

# N=1 Supersymmetric (SUSY) QM

#### Algebraic structure

- Fermionic parity:  $(-1)^F$  (*F*: total fermion num.)
- Supercharge:  $Q \quad (Q^{\dagger} = Q)$  anti-commutes with  $(-1)^F$
- Hamiltonian:  $H = Q^2$
- Symmetry:  $[H, (-1)^F] = [H, Q] = 0.$

#### ■ Spectrum of *H*

- $E \ge 0$  for all states, as H is p.s.d
- E > 0 states come in pairs  $\{|\psi\rangle, Q|\psi\rangle\}$
- E = 0 state must be annihilated by Q

G.S. energy = 0 → SUSY *unbroken* G.S. energy > 0 → SUSY *broken* 



#### **Super-frustration-free systems**

- "Local" supercharge
  - Total supercharge:  $Q = \sum_j q_j$
  - Local supercharge: Each  $q_i$  anti-commutes with  $(-1)^F$

**Definition.**  $Q = \sum_{j} q_{j}$  is said to be *super-frustration-free* if there exists a state  $|\psi\rangle$  such that  $q_{j}|\psi\rangle = 0$  for all *j*.

#### **Lattice Majorana fermions**

$$(\gamma_i)^{\dagger} = \gamma_i, \quad \{\gamma_i, \gamma_j\} = 2\delta_{ij}$$

- Fermionic parity:  $(-1)^F = i^n \gamma_1 \gamma_2 \cdots \gamma_{2n}$
- Complex fermions from Majoranas

$$c_j^{\dagger} = \frac{1}{2}(\gamma_{2j-1} - \mathrm{i}\gamma_{2j})$$

Each  ${\it \gamma}$  fermion carries quantum dimension  $\sqrt{2}$ 

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# Majorana-Nicolai model

#### Definition

Supercharge

$$Q = \sum_{j} (g\gamma_j + i\gamma_{j-1}\gamma_j\gamma_{j+1}), \quad (g \in \mathbb{R})$$

• Hamiltonian J $H = Q^2$  consists of quadratic and quartic terms in  $\gamma$ 

#### Phase diagram

- Sannomiya-Katsura, arXiv:1712.01148
- O'Brien-Fendley, arXiv:1712.0662, PRL 120 (2018) [More general]



- Free-fermionic when g>>1. Rigorous upper bound on  $g_c$ .
- Integrable at g=0, super-frustration-free at  $g=\pm 1$ .

#### Super-frustration-free at g=1

$$Q = \sum_{l=1}^{N/2} (\gamma_{2l-2} + \gamma_{2l+1}) \underbrace{(1 + i\gamma_{2l-1}\gamma_{2l})}_{l=1} = \sum_{l=1}^{N/2} (\gamma_{2l-1} + \gamma_{2l+2}) \underbrace{(1 + i\gamma_{2l}\gamma_{2l+1})}_{l=1}$$

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- $h_{2l-1}$ : Local *H* of Kitaev chain in a trivial phase
- $h_{2l}$  : Local *H* of Kitaev chain in a topological phase
- $H = Q^2$  has two g.s. annihilated by all local q. Easy to write down their explicit forms.

#### Nicolai models with N=2 SUSY

- Nicolai, JPA 9, 1497 (1976); JPA 10, 2143 (1977)  $Q = \sum_{k=1}^{(N-1)/2} c_{2k-1} c_{2k}^{\dagger} c_{2k+1}$
- Sannomiya-Katsura-Nakayama, PRD **94**, 045014 (2016); PRD **95**, 065001 (2016)  $Q = \sum_{j} c_j c_{j+1} c_{j+2}$ G.S. degeneracy grows exponentially with system size.
- Schoutens et al., in preparation(?), counting the number of g.s.

#### Summary

- Studied frustration-free Fredkin chains described by Dyck paths
- Rigorous results on entanglement entropy, finite-size gap, etc.



Studied super-frustration-free fermionic systems

#### What I did not touch on

- Determinant formula for *q*-Carlitz-Riordan, Grothendieck poly?
  Y. Ueno, *J. Alg.* **116**, 261 (1988)
- Stochastic model corresponding to Fredkin chain
- Connection to Temperley-Lieb and Artin group?