

Physics of Neutrino Sector

Dmitry Gorbunov

Institute for Nuclear Research of RAS, Moscow

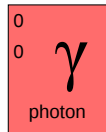
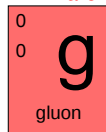
**On-line seminar
from Eriomkino, Tver region, Russia**

IIP, Natal, Brazil
05.05.2021

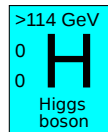
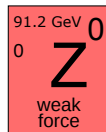
Three Generations of Matter (Fermions) spin 1/2

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	Left u Right up	Left c Right charm	Left t Right top
Quarks	4.8 MeV $-\frac{1}{3}$ Left d Right down	104 MeV $-\frac{1}{3}$ Left s Right strange	4.2 GeV $-\frac{1}{3}$ Left b Right bottom
	0 eV 0 Left ν_e Right electron neutrino	0 eV 0 Left ν_μ Right muon neutrino	0 eV 0 Left ν_τ Right tau neutrino
	0.511 MeV -1 Left e Right electron	105.7 MeV -1 Left μ Right muon	1.777 GeV -1 Left τ Right tau
Leptons			

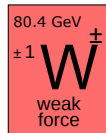
The Matter generations
are indistinguishable by
electric
weak and
strong
forces



distinguishable
by gravity
and Yukawa
forces



Bosons (Forces) spin 1



spin 0

$$m_H \approx 125 \text{ GeV}$$

Standard Model: Major Problems

Gauge fields (interactions): $\gamma, W^\pm, Z, g$

Three generations of matter: $L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, e_R; Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, d_R, u_R$

- Describes
 - ▶ all experiments dealing with electroweak and strong interactions
- Does not describe (PHENO) (THEORY)

▶ Neutrino oscillations ▶ Dark matter (Ω_{DM}) ▶ Baryon asymmetry (Ω_B) ▶ Inflationary stage	▶ Dark energy (Ω_Λ) ▶ Strong CP-problem ▶ Gauge hierarchy ▶ Quantum gravity
---	--

Only direct evidence for New Physics

Description of neutrino oscillations (I)

- Two bases: gauge $|v_\alpha\rangle$, $\alpha = e, \mu, \tau$ and mass $|v_i\rangle$, $i = 1, 2, 3$

$$|v_i\rangle = U_{\alpha i} |v_\alpha\rangle \quad \text{with unitary PMNS } 3 \times 3 \text{ matrix } U_{\alpha i}$$

- Neutrino mass matrix is then

$$M_{\alpha\beta} = \langle v_\alpha | M | v_\beta \rangle = (U M^{(m)} U^\dagger)_{\alpha\beta}, \quad \text{where } M_{ij}^{(m)} = m_i \delta_{ij}.$$

- Free neutrino evolution in time and space

$$|v_j(t)\rangle = e^{-im_j t} |v_j(0)\rangle \quad \rightarrow \quad |v_j(t, L)\rangle = e^{-i(E_j t - p_j L)} |v_j(0)\rangle,$$

in ultrarelativistic case $\longrightarrow$ **Hamiltonian**

$$p_j = \sqrt{E^2 - m_j^2} = E - m_j^2/2E \quad \rightarrow \quad |v_j(L)\rangle = e^{-i \frac{m_j^2}{2E} L} |v_j(0)\rangle.$$

Description of neutrino oscillations (II)

- Neutrino effective Hamiltonian

$$|v_j(L)\rangle = e^{-i \frac{m_j^2}{2E} L} |v_j(0)\rangle \rightarrow H_{\text{eff}} = \frac{M^2}{2E}$$

- Transition amplitude of neutrino ν_α to neutrino ν_β is

$$A(\alpha \rightarrow \beta) = \sum_j \langle \nu_\beta | v_j(L) \rangle \langle v_j(0) | \nu_\alpha \rangle = \sum_j \langle \nu_\beta | v_j \rangle e^{-i \frac{m_j^2}{2E} L} \langle v_j | \nu_\alpha \rangle = \sum_j U_{\beta j} e^{-i \frac{m_j^2}{2E} L} U_{\alpha j}^*$$

- Transition probability

$$\Delta m_{ji}^2 \equiv m_j^2 - m_i^2$$

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= |A(\alpha \rightarrow \beta)|^2 \\ &= \delta_{\alpha\beta} - 4 \sum_{j>i} \text{Re}[U_{\alpha j}^* U_{\beta j} U_{\alpha i} U_{\beta i}^*] \sin^2 \left(\frac{\Delta m_{ji}^2}{4E} L \right) \\ &\quad + 2 \sum_{j>i} \text{Im}[U_{\alpha j}^* U_{\beta j} U_{\alpha i} U_{\beta i}^*] \sin \left(\frac{\Delta m_{ji}^2}{2E} L \right), \end{aligned}$$

Description of neutrino oscillations (III)

- Two-neutrino oscillations: transition probability

$$P(\nu_\alpha \rightarrow \nu_{\beta \neq \alpha}) = \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m^2}{4E} L \right),$$

- Two-neutrino oscillations: survival probability

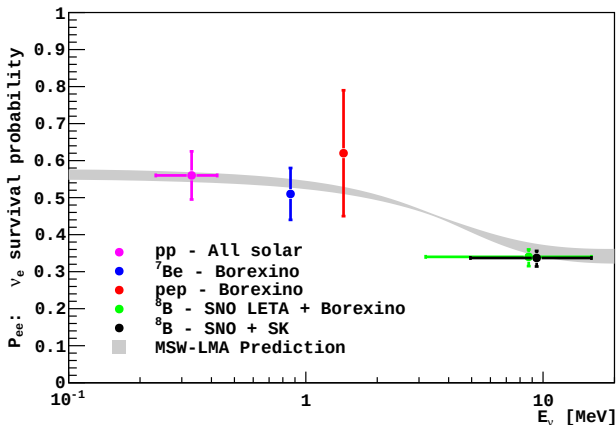
$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m^2}{4E} L \right)$$

- Oscillation length

$$L_{osc} = \frac{4\pi E}{\Delta m^2} = (2.5 \text{ km}) \cdot \frac{E}{\text{GeV}} \frac{\text{eV}^2}{\Delta m^2}$$

Neutrino matter effect

Mikheev–Smirnov–Wolfenstein effect



BOREXINO measurements of solar neutrino flux

Fermi charged currents

$$\mathcal{L} = -2\sqrt{2}G_F \bar{\nu}_e \gamma^\mu e \cdot \bar{e} \gamma_\mu \nu_e$$

only matter, no currents

$$\langle \langle \bar{e}_k \gamma_{kl}^0 e_l \rangle \rangle = \langle \langle e^\dagger e \rangle \rangle = n_e,$$

$$\langle \langle \bar{e}_k \gamma_{kl}^j e_l \rangle \rangle = 0.$$

$$\langle \langle e_k \bar{e}_l \rangle \rangle = -\frac{1}{4} \gamma_{kl}^0 \cdot n_e$$

Fermi interaction gives

$$\mathcal{L}_{\text{eff}} = -\sqrt{2}G_F n_e \bar{\nu}_e \gamma^0 \nu_e.$$

$$i\gamma^0 \partial_0 \rightarrow i\gamma^0 \partial_0 - \sqrt{2}G_F n_e \gamma^0,$$

effective potential

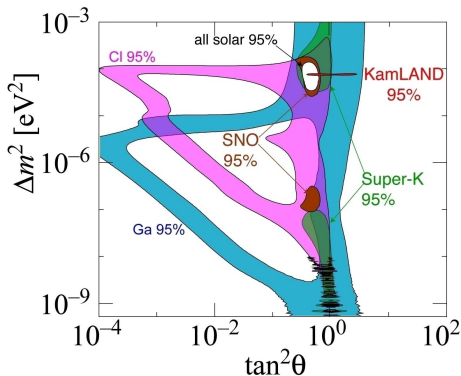
$$i\partial_0 - V, \text{ with } V = \sqrt{2}G_F n_e$$

competes with

$$H_{\text{eff}} = \Delta m^2 / 2E$$

Neutrino oscillations: masses and mixing angles

Solar 2×2 “subsector”

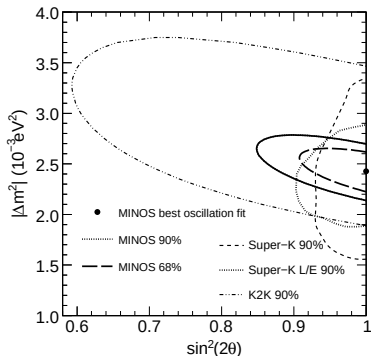


<http://hitoshi.berkeley.edu/neutrino/>

$$m_{\text{sol}}^2 \approx 7.4 \times 10^{-5} \text{ eV}^2$$

DAYA-BAY, RENO, T2K: $\sin^2 2\theta_{13} \approx 0.08$

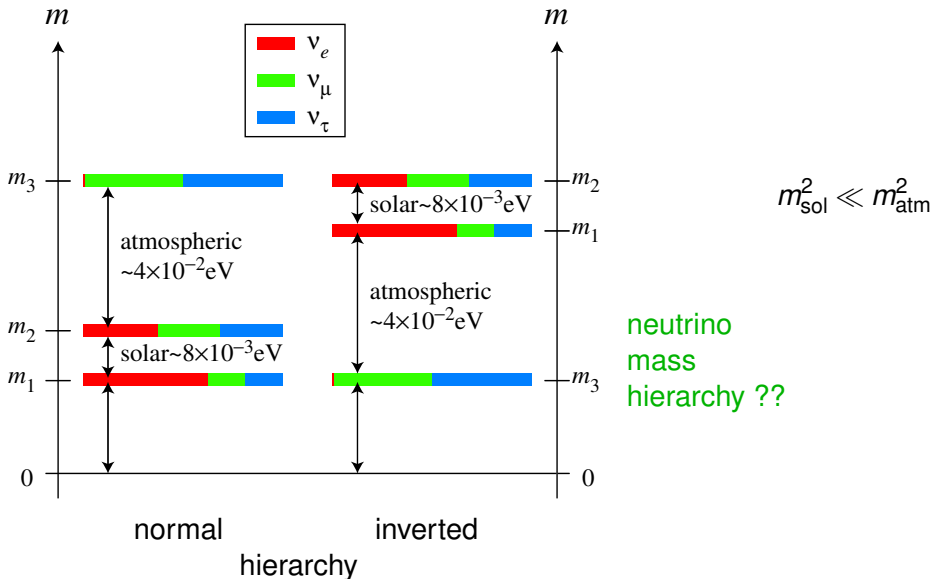
Atmospheric 2×2 “subsector”



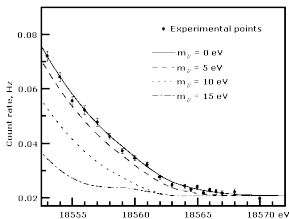
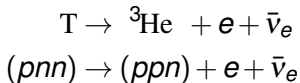
arXiv:0806.2237

$$m_{\text{atm}}^2 \approx 2.5 \times 10^{-3} \text{ eV}^2$$

Physics behind the neutrino oscillations is still elusive



Direct searches for m_ν : cut in e-spectrum



INR RAS, 1990-2000 years: $m_{\bar{\nu}_e} \lesssim 2 \text{ eV}$



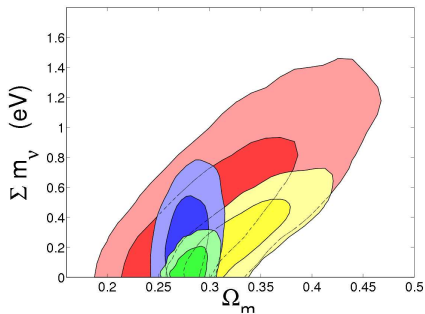
Mainz, 2000... : $m_{\bar{\nu}_e} \lesssim 2 \text{ eV}$

present limits from KATRINE
 $m_{\bar{\nu}_e} \lesssim 1 \text{ eV}$

similarly: $m_{\bar{\nu}_e} \lesssim 17 \text{ keV}$, $m_{\bar{\nu}_e} \lesssim 17 \text{ MeV}$



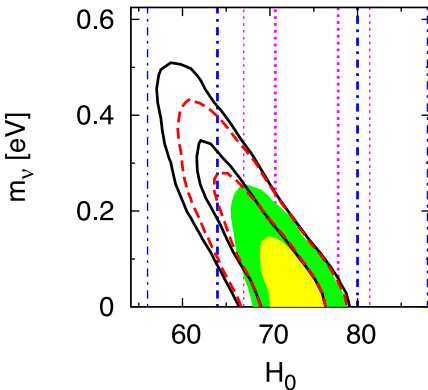
Cosmological limits: sub-eV scale... 10 years ago!!



LRG+BAO+WMAP5+SNe+BAO

$\Sigma m_\nu < 0.28 \text{ eV}$ (95% CL)

0911.5291



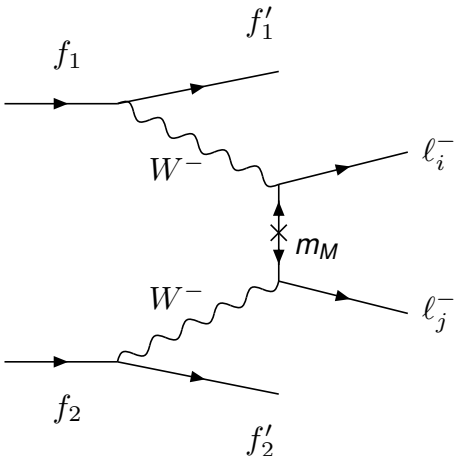
CMB+Hubble measurements

$\Sigma m_\nu < 0.20 \text{ eV}$ (95% CL)

0911.0976

Physics behind the neutrino oscillations is still elusive

nature of neutrino mass: Dirac vs Majorana?



$$Z \rightarrow (Z+2) + 2e,$$

$$0\nu\beta\beta$$

$$K^+ \rightarrow \mu^+ \mu^+ \pi^-,$$

$$D \rightarrow \mu^+ \mu^+ K^-$$

$$t \rightarrow b \mu^+ \mu^+ W^-$$

Physics behind the neutrino oscillations is still elusive

- nature of neutrino mass (Dirac vs Majorana)
- neutrino mass hierarchy
- CP -violation
- may be relevant for the matter-antimatter asymmetry
- neutrino anomalies do not fit to 3ν
ask for larger mass splitting

$$m_{\text{sol}}^2 \ll m_{\text{atm}}^2 \ll m_{\text{anom}}^2 \simeq 1 \text{ eV}^2$$

- ▶ LSND $\rightarrow$ MiniBooNE appearance
- ▶ SAGE & GALLEX: gallium anomaly disappearance
- ▶ reactor antineutrinos $\rightarrow$ DANSS, NEUTRINO-4 disappearance

These issues must be fixed before suggesting ν as a tool

- Explore entire structures of Earth and Sun
- Investigate the SN explosion mechanism
- Monitor nuclear reactors (nuclear power plants, etc)
- ...

New Physics can interfere if its scale is low

Sterile neutrinos: NEW ingredients

One of the optional physics beyond the SM:

sterile: new fermions uncharged under the SM gauge group
neutrino: explain observed oscillations by mixing with SM (active) neutrinos

Attractive features:

- possible to achieve within **renormalizable** theory
- only $N = 2$ **Majorana** neutrinos needed
- **baryon asymmetry** via leptogenesis
- **dark matter** (with $N \geq 3$ at least)
- **light(?) sterile neutrinos might be responsible for neutrino anomalies...?**

Disappointing feature:

Major part of parameter space is UNTESTABLE

Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	Left u Right up	Left c Right charm	Left t Right top
Quarks	4.8 MeV $-\frac{1}{3}$ Left d Right down	104 MeV $-\frac{1}{3}$ Left s Right strange	4.2 GeV $-\frac{1}{3}$ Left b Right bottom
	<0.0001 eV ~ 10 keV Left 0 ν_e Right sterile neutrino N_1	~ 0.01 eV $\sim$ GeV Left 0 ν_μ Right sterile neutrino N_2	~ 0.04 eV $\sim$ GeV Left 0 ν_τ Right sterile neutrino N_3
	0.511 MeV -1 Left e Right electron	105.7 MeV -1 Left μ Right muon	1.777 GeV -1 Left τ Right tau
Leptons			

0 0 g gluon
0 0 γ photon
91.2 GeV 0 Z^0 weak force
80.4 GeV ± 1 $W^\pm$ weak force

Bosons (Forces) spin 1

>114 GeV 0 0 H Higgs boson

spin 0

Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{\text{active}} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i \not{\partial} N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains $\langle H \rangle = v/\sqrt{2}$ and then

$$\mathcal{V}_N = \frac{1}{2} \left(\bar{\nu}_e, \bar{N}^c \right) \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} \nu_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy $M_N \gg M^D = v \frac{f}{\sqrt{2}}$ we have

flavor state $\nu_e = U \nu_1 + \theta N$ with $U \approx 1$ and

active-sterile mixing: $\theta = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{\text{active}} = \theta^2 M_N \lll M_N$$

Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{\text{active}} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N}_I i \not{\partial} N_I - f_{\alpha I} \bar{L}_\alpha^c \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$

When Higgs gains $\langle H \rangle = v/\sqrt{2}$ we get in neutrino sector

$$\mathcal{V}_N = \frac{1}{2} \left(\bar{v}_1, \dots, \bar{N}_1^c \dots \right) \begin{pmatrix} 0 & v \frac{\hat{f}}{\sqrt{2}} \\ v \frac{\hat{f}^T}{\sqrt{2}} & \hat{M}_N \end{pmatrix} (v_1, \dots, N_1 \dots)^T + \text{h.c.}$$

Then for $M_N \gg \hat{M}^D = v \frac{\hat{f}}{\sqrt{2}}$ we find the eigenvalues:

$$\simeq \hat{M}_N \quad \text{and} \quad \hat{M}^v = -(\hat{M}^D)^T \frac{1}{\hat{M}_N} \hat{M}^D \propto f^2 \frac{v^2}{M_N} \lll M_N$$

Mixings: flavor state $v_\alpha = U_{\alpha I} v_I + \theta_{\alpha I} N_I$

active-active mixing: $U^\dagger \hat{M}^v U = \text{diag}(m_1, m_2, m_3)$

active-sterile mixing: $\theta_{\alpha I} = \frac{(M^D)_{\alpha I}^T}{M_I} \propto \hat{f}^T \frac{v}{M_N} \ll 1$

Violation of L , C and CP symmetries

$$\mathcal{L}_N = \bar{N} i \not{\partial} N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

- $f = 0 \quad \longrightarrow$ free fermion, no need to call 'sterile'
- $M_N = 0 \quad \longrightarrow$ N and ν form pure Dirac neutrino,
the most boring case, worth than we have with the Higgs boson
one may refuse to call it 'new physics'
- $f \neq 0, \quad M_N \neq 0 \quad \longrightarrow$ introduces new massive parameter,
violates lepton symmetry L
(and C - and CP -symmetry with several N 's)

Active neutrino masses without new fields

Dimension-5 operator

$\Delta L = 2$

appeared at energy scale Λ

$$\mathcal{L}^{(5)} = \frac{F_{\alpha\beta}}{4\Lambda} \bar{L}_\alpha \tilde{H} H^\dagger L_\beta^c + \text{h.c.}$$

L_α are SM leptonic doublets, $\alpha = 1, 2, 3$, $\tilde{H}_a = \epsilon_{ab} H_b^*$, $a, b = 1, 2$;

in a unitary gauge $H^T = (0, (v+h)/\sqrt{2})$

$$\mathcal{L}_{\nu\nu}^{(5)} = \frac{v^2 F_{\alpha\beta}}{4\Lambda} \times \frac{1}{2} \bar{\nu}_\alpha \nu_\beta^c + \text{h.c.} = m_{\alpha\beta} \times \frac{1}{2} \bar{\nu}_\alpha \nu_\beta^c + \text{h.c.}$$

where

Λ is the scale of new dynamics

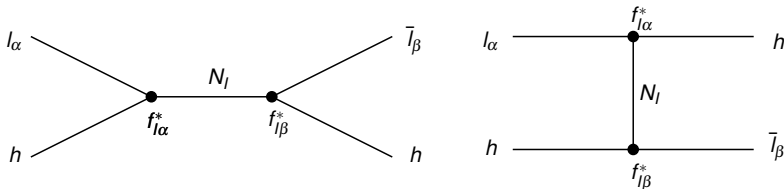
only their ratio is fixed

$F_{\alpha\beta}$ is the strength of new dynamics

by the scale of active neutrino masses

Producing the effective dim-5 operator below M_N

integrating out the Heavy sterile neutrinos



thus we obtain

$$\propto \frac{f^2}{M_N} l h l h \rightarrow \frac{F(LH)(LH)}{\Lambda}$$

Sterile neutrino: a vast region of mass

Within the seesaw paradigm, as far as

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

Any set

(mass scale M_N , Yukawa coupling f)

is viable

And with special tuning or symmetry larger (but not smaller) mixing
3 sterile neutrinos is
viable

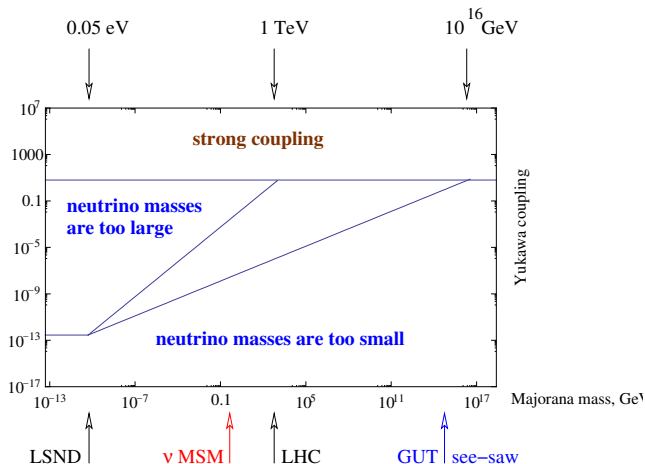
$$\hat{m}_a \sim \hat{f}^T \frac{1}{\hat{M}_N} \hat{f} v^2$$

Sterile neutrino mass scale: $\hat{M}_V = -v^2 \hat{f}^T \hat{M}_N^{-1} \hat{f}$

NB: With fine tuning in $\hat{M}_N$ and $\hat{f}$ we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos

$L_e - L_\mu - L_\tau$ or discrete symmetries
Froggatt-Nielsen mechanism

Extended seesaw



Seesaw diagram

Sterile neutrino lagrangian

Most general renormalizable with 2(3...) right-handed neutrinos N_I

$$\mathcal{L}_N = \bar{N}_I i \not{\partial} N_I - f_{\alpha I} \bar{L}_\alpha \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$

Parameters to be determined from experiments

9(7): **active neutrino sector**

2 Δm_{ij}^2 : oscillation experiments

3 θ_{ij} : oscillation experiments

1 CP-phase: oscillation experiments

2(1) Majorana phases: $0 \nu e e$,

$0 \nu \mu \mu$

1(0) m_ν : $^3\text{H} \rightarrow ^3\text{He} + e + \bar{\nu}_e$, cosmology, ...

11: $N = 2$ sterile neutrinos

(works if $m_\nu = 0$!!!)

2: Majorana masses M_{N_I}

9: New Yukawa couplings $f_{\alpha I}$

which form

2: Dirac masses $M^D = f \langle H \rangle$

3+1: mixing angles

2+1: CP-violating phases

4 new parameters in total

18: $N = 3$ sterile neutrinos:

3: Majorana masses M_{N_I}

15: New Yukawa couplings $f_{\alpha I}$ which form

3: Dirac masses $M^D = f \langle H \rangle$

3+3: mixing angles

3+3: CP-violating phases

9 new parameters in total

Profit: can suggest why neutrinos are so light, $m_\nu \sim 0.1 - 0.01$ eV

Baryogenesis

- Need BAU $\eta_B \equiv n_B/n_\gamma \approx 6 \times 10^{-10}$ starting from BBN epoch, $T \lesssim 1$ MeV
- The same number at recombination and later

Sakharov conditions of successful baryogenesis

- **B**-violation $(\Delta B \neq 0) \quad XY \dots \rightarrow X' Y' \dots B$
- **C**- & **CP**-violation $(\Delta C \neq 0, \Delta CP \neq 0) \quad \bar{X} \bar{Y} \dots \rightarrow \bar{X}' \bar{Y}' \dots \bar{B}$
- processes above are out of equilibrium $X' Y' \dots B \rightarrow XY \dots$

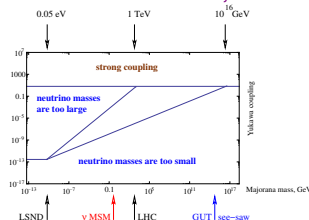
At $100 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$ nonperturbative processes (EW-sphalerons) violate B , L_α , so that only three charges are conserved out of four, e.g.

$$B - L, \quad L_e - L_\mu, \quad L_e - L_\tau$$

Leptogenesis: Baryogenesis from lepton asymmetry of the Universe ... due to sterile neutrinos

Bonus: depends on the sterile neutrino mass range

NB: With fine tuning in $\hat{M}_N$ and $\hat{f}$ we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos



$L_e - L_\mu - L_\tau$ or discrete symmetries
Froggatt-Nielsen mechanism
Extended seesaw

There are different regions:

$M_N \sim 1 \text{ eV} - 100 \text{ GeV}$

- keV-scale dark matter
- BAU via leptogenesis
- Neutrino anomalies (1 eV sterile neutrinos?)

direct searches!

$M_N \sim 100 \text{ GeV} - 5 \text{ TeV}$

- BAU via leptogenesis

$$f \sim 10^{-6} \simeq Y_e$$

but with fine tuning or new global or gauge symmetries (e.g. $SU(2)_L \times SU(2)_R$)

direct searches at colliders

$M_N \sim 10^{12} - 10^{14} \text{ GeV}$

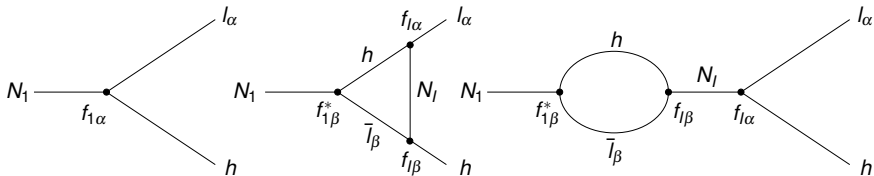
- BAU via leptogenesis

$$f \simeq 0.01 - 1$$

Untestable...?
or already confirmed?

Lepton asymmetry δ at 1-loop level

$$f_{l\alpha} \bar{L}_\alpha N_l \tilde{H}$$



$$\Gamma(N_1 \rightarrow lh) = \frac{M_1}{8\pi} \cdot \sum_\alpha \left| f_{1\alpha} + \frac{1}{8\pi} \sum_{\beta,l} F\left(\frac{M_1}{M_l}\right) \cdot f_{1\beta}^* f_{l\alpha} f_{l\beta} \right|^2, \quad m_\nu \ll M_l$$

$$\delta \equiv \frac{\Gamma(N_1 \rightarrow lh) - \Gamma(N_1 \rightarrow \bar{l}h)}{\Gamma_{tot}} = -\frac{1}{8\pi} \sum_{l=2,3} \text{Im} \left[F\left(\frac{M_1}{M_l}\right) \right] \cdot \frac{\text{Im}(\sum_\alpha f_{1\alpha} f_{l\alpha}^*)^2}{\sum_\gamma |f_{1\gamma}|^2}.$$

$$\text{for } M_{2,3} \gg M_1, f\left(\frac{M_1}{M_l}\right) = -\frac{3}{2} \frac{M_1}{M_l}, \quad \delta = \frac{3M_1}{16\pi} \frac{1}{\sum_\gamma |y_{1\gamma}|^2} \sum_{\alpha\beta l} \text{Im} \left[y_{1\alpha} y_{1\beta} \left(y_{l\alpha}^* \frac{1}{M_l} y_{l\beta}^* \right) \right].$$

Superheavy sterile neutrinos: $M_N \simeq 10^{12}-10^{14}$ GeV

- **Motivation:** close to GUT scales, e.g. $SO(10)$
- **Bad fact:** huge finite quantum corrections $\delta m_H^2 \propto f^2 M_N^2 \gg m_H^2 (\Rightarrow M_N < 10^7 \text{ GeV})$
SUSY solution? (New fields...new problems: e.g. gravitino overproduction with high T_{reh} for leptogenesis)
- **Good fact:** If $T > M_N$ decays of thermal sterile neutrino yield the lepton asymmetry in the early Universe: M.Fukugita, T.Yanagita (1986)

$$\delta \equiv \frac{\Gamma(N_1 \rightarrow lh) - \Gamma(N_1 \rightarrow \bar{l}h)}{\Gamma_{tot}} = \frac{1}{8\pi} \sum_{l=2,3} f \left(\frac{M_{N_1}}{M_{N_l}} \right) \cdot \frac{\text{Im}(\sum_{\alpha} f_{1\alpha} f_{l\alpha}^*)^2}{\sum_{\gamma} |f_{1\gamma}|^2}.$$

Needs $M_{N_1} \gtrsim 10^9 \text{ GeV}$ or $M_{N_1} \gtrsim 10^{12} \text{ GeV}$ without fine tuning in $\hat{f}$

- **Exciting fact:** to avoid washing out of Δ_L in $hl_{\alpha} \leftrightarrow h\bar{l}_{\beta}$ we need ... $M^{\nu} < 0.1 - 0.3 \text{ eV} !!!$
- **Cooling down:** No way to test further. Can get $\Delta_B \sim 10^{-10}$ even with $\theta_{13} = \delta_{CP} = 0!$

NB: can work for nonthermal case as well

production by inflaton decay G.Lazaridies, Q.Shafi (1991)

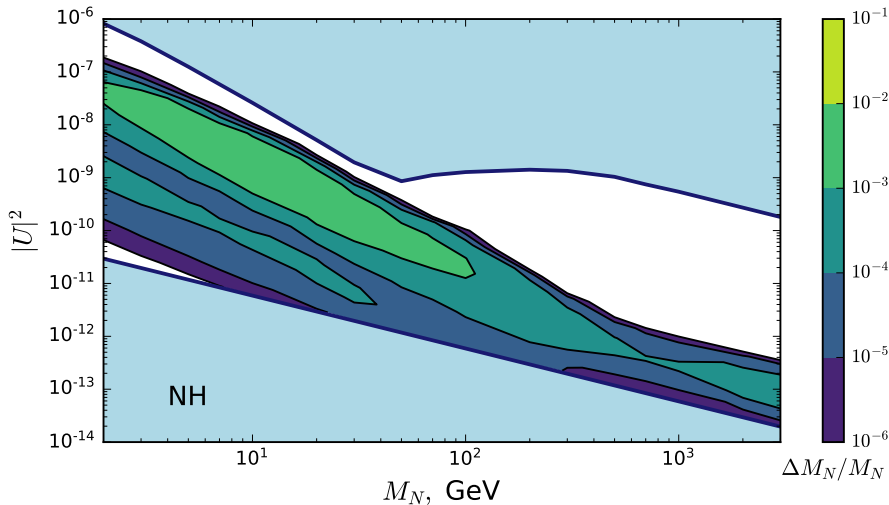
e.g. in R^2 -inflation D.G., A.Panin (2010)

Very heavy sterile neutrinos: $M_N \simeq 100 \text{ GeV} - 5 \text{ TeV}$

- **Good fact:** small finite quantum corrections $\delta m_H^2 \propto f^2 M_N^2 \ll m_H^2$
No hierarchy between Λ_ν and Λ_{EW}
 - **Bad fact:** Without extra symmetries, fine tuning or new interactions generation of lepton asymmetry and hence No BAU
 - **Way out:** fine tuning can help: e.g. resonant enhancement of CP-violation in out-of-equilibrium sterile neutrino decays:
leptogenesis for $M_N \gtrsim 1 \text{ TeV}$ if $\Delta M_N \sim \Gamma_N$ Pilaftsis (1997,...)
 - **Further cooling down:**
can be directly produced but at a tiny amount only: as small as $f \sim 10^{-6}$!
 - **Conclusion:** Seesaw type I is generally untestable in direct searches:
Yukawa couplings are too small, while sterile neutrinos are quite heavy.
- To make interesting either NEW fields or fine tuning (larger f)
or symmetries, e.g. $SU(2)_L \times SU(2)_R$ are required!!!

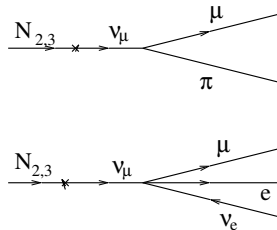
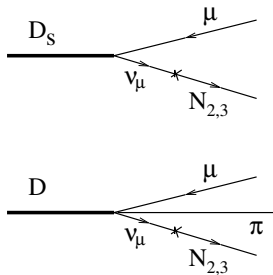
Degeneracy for Leptogenesis

2008.13771

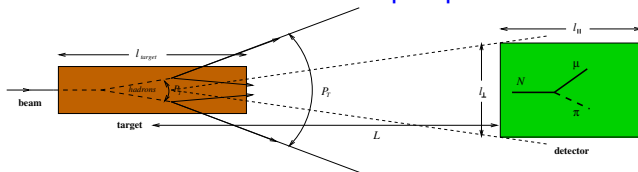


Heavy sterile neutrinos: direct searches

Weak decays due to mixing

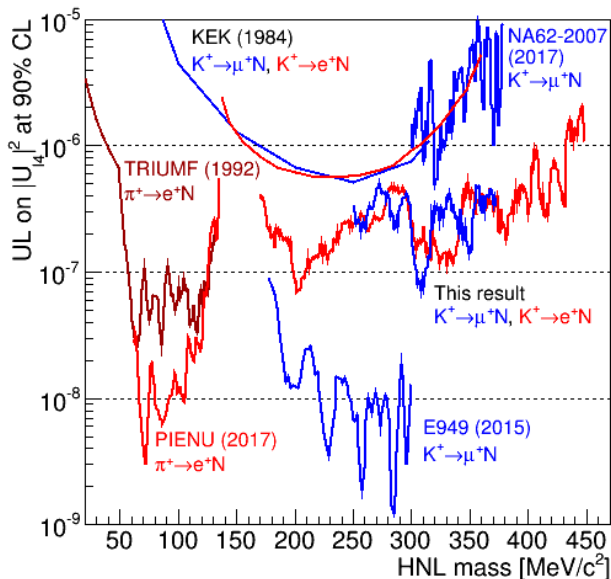


Production in beam-dump experiments



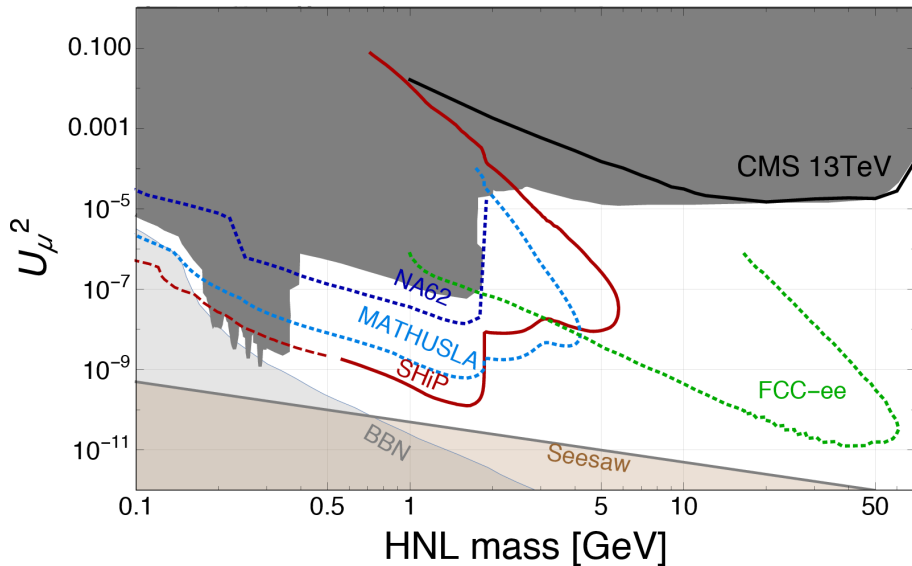
Present limits from production

1904.09124



New projects. . .

1904.09124



Sterile neutrino: well-motivated keV-mass Dark Matter

- massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable, $N \rightarrow \nu \nu \nu$ is always open
but exceeding the age of the Universe if

(applicable for $M_N < M_W$)

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left(G_F^2 M_N^5 \theta_{\alpha N}^2 \right) \implies \theta^2 < 1.5 \times 10^{-7} \left(\frac{50 \text{ keV}}{M_N} \right)^5$$

- with seesaw constraint $m_a \sim \theta^2 M_N$

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left(G_F^2 M_N^4 m_\nu \right) \sim 10^{11} \text{ yr} (10 \text{ keV} / M_N)^4$$

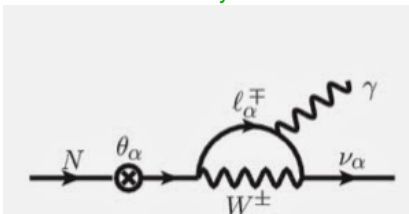
Sterile neutrino: indirect searches

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- **unstable**, but exceeding the age of the Universe if

$$\frac{\theta^2}{3 \times 10^{-3}} < \left(\frac{10 \text{ keV}}{M_N} \right)^5$$

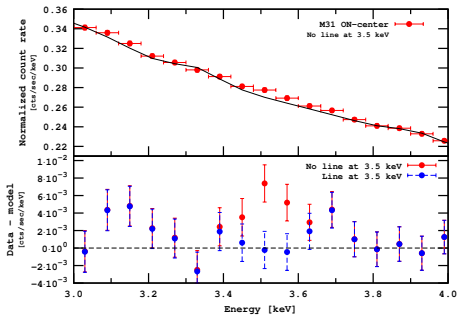
- **DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay**
give limits in absence of the feature



a narrow line ($\delta E_\gamma / E_\gamma \sim \nu \sim 10^{-3}$)
at photon frequency $E_\gamma = M_N/2$

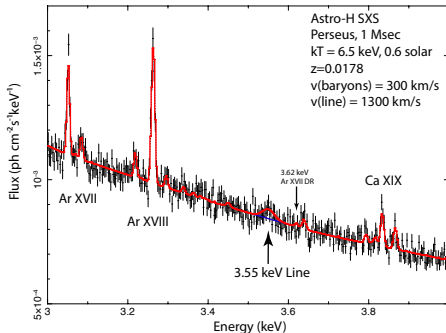
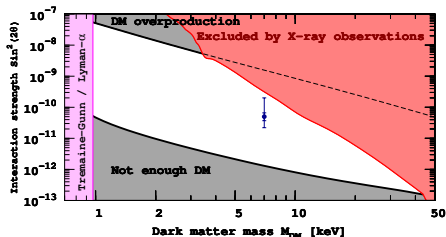
$$\frac{\theta^2}{10^{-11}} \lesssim \left(\frac{10 \text{ keV}}{M_N} \right)^4$$

... 6 years ago: **Dark Matter** decay observed in X-ray?



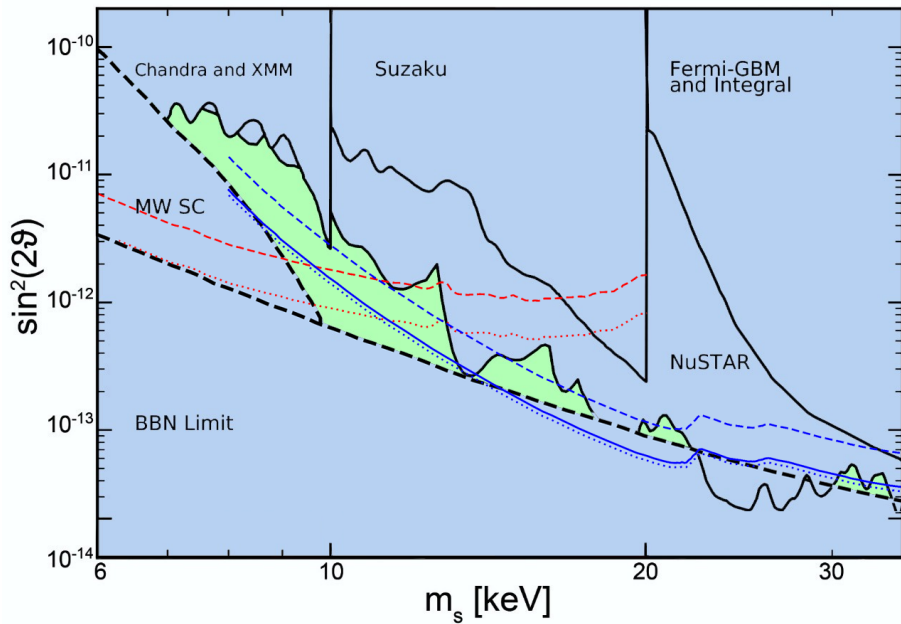
Stacking signals from many galaxies, especially Perseus cluster, then Andromeda

1402.2301, 1402.4119



Spektr-RG: eROSITA (0.2-10 keV), ART-XC (4-30 keV)





2007.07969 (V. Barinov, R. Burenin, D.G., R. Krivonos)

Disclaimer

- There are no any direct indication of the sterile neutrino scale
- In what follows we consider light, $m \sim 1$ eV sterile neutrinos
Neutrino anomalies
- No solid theoretical motivations for this scale, $M_N \sim m_\nu$
May be except Mirror World concept. . . ?
- $2 \leftrightarrow 2$ oscillations are enough
- Could be not exactly sterile: non-minimal models of neutrino mixing can fit to this scheme as well

Light sterile neutrinos and cosmology

- Analysis of CMB & LSS (e.g., Planck, SDSS):
Mixing $\theta \sim 0.1-1$, mass ~ 1 eV
NONE (or, may be, one)
– there are 2σ discrepancies in H_0 , σ_8 , lensing, ...
small scale crisis, SPT vs Planck, ...
- Explanation of the combined anomalous results
needs TWO or MORE
– of course, some anomalies may be just anomalies...
- Production in the early Universe can be efficiently suppressed,
e.g., by scalar field

$$\mathcal{L} = \phi \bar{N}^c N + \text{h.c.}$$

or if the reheating scale is low, $T_{reh} \sim 10$ MeV

Description of neutrino oscillations

- Oscillation length

small $L_{osc} \leftrightarrow$ big Δm

$$L_{osc} = \frac{4\pi E}{\Delta m^2} = (2.5 \text{ m}) \cdot \frac{E}{\text{MeV}} \frac{\text{eV}^2}{\Delta m^2}$$

- Oscillation probability:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \delta_{\alpha\beta} - \sin^2 2\theta_{\alpha\beta} \sin^2 \left(\frac{L \Delta m_{41}^2}{4E} \right) \right|, \quad \sin^2 2\theta_{\alpha\beta} = 4 |U_{\alpha 4}|^2 \left| \delta_{\alpha\beta} - |U_{\beta 4}|^2 \right|$$

- transition probability

appearance

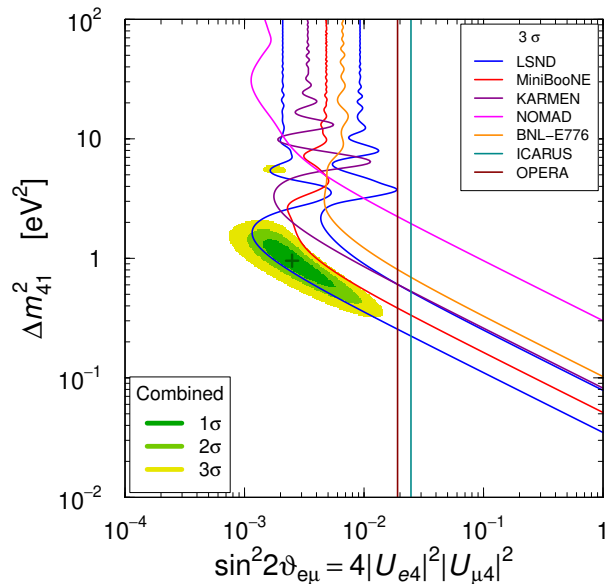
$$P(\nu_\alpha \rightarrow \nu_{\beta \neq \alpha}) = \sin^2 2\theta_{\alpha\beta} \sin^2 \left(\frac{L \Delta m_{41}^2}{4E} \right), \quad \sin^2 2\theta_{\alpha\beta} = 4 |U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

- survival probability

disappearance

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{\alpha\alpha} \cdot \sin^2 \left(\frac{\Delta m^2}{4E} L \right), \quad \sin^2 \theta_{\alpha\alpha} = |U_{\alpha 4}|^2$$

LSND-anomaly: appearance, $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$



LSND (1993-1998):
production by 798 MeV
protons

$$\pi^+ \rightarrow \mu^+ \nu_\mu, \quad \mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$$

detection via inverse beta
decay (IBD)

$$\bar{\nu}_e + p \rightarrow n + e^+$$

3.8 σ effect
transition probability

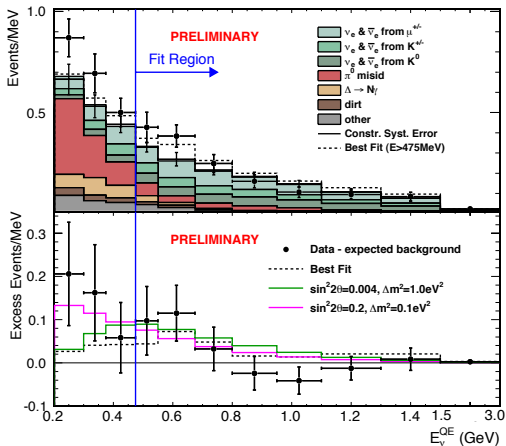
$$(2.64 \pm 0.67 \pm 0.45) \times 10^{-3}$$

sterile neutrino mass

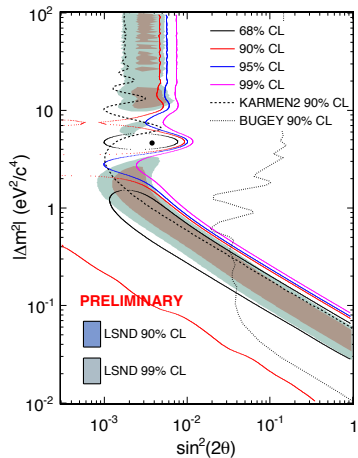
$$\Delta m \sim 1 \text{ eV}$$

1901.08330

MiniBooNE anomalies (2011) ... $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, $\nu_\mu \rightarrow \nu_e$



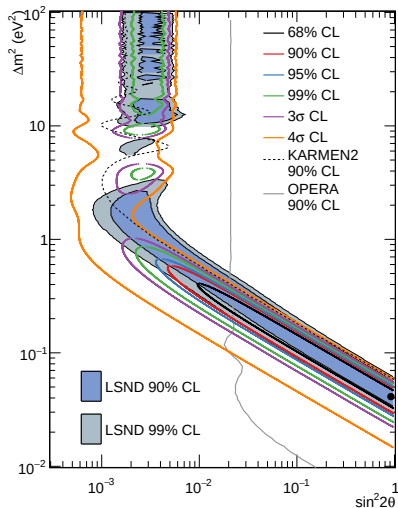
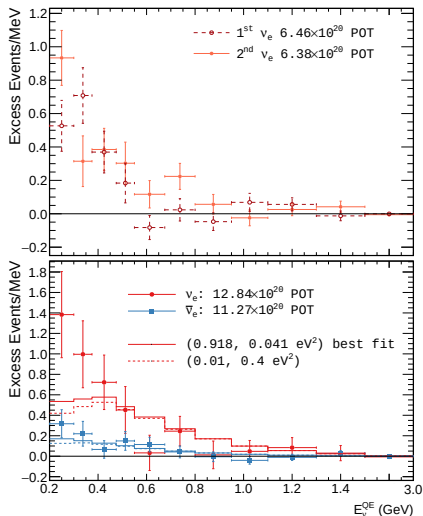
ν_e for ν_μ mode at MiniBooNE: no LSND-like effects,
However Low energy excess of ν_e



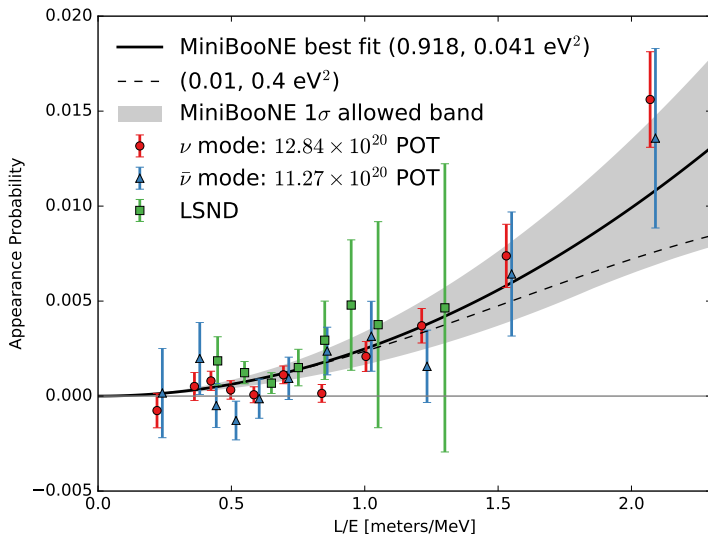
NuFact2011, 1201.1519
LSND-like oscillations are preferable
only at 90%CL

MiniBooNE anomalies (2018) ... $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, $\nu_\mu \rightarrow \nu_e$

1805.12028

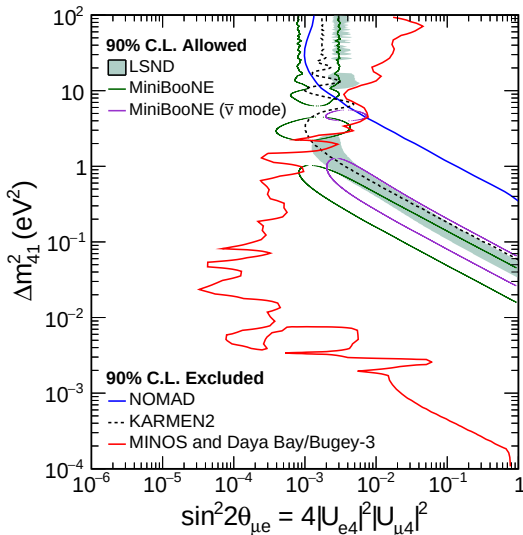
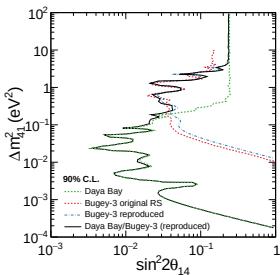
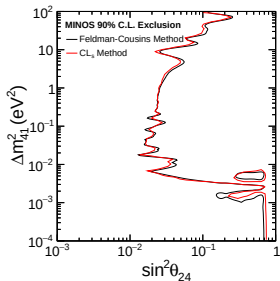


MiniBooNE anomalies (2018) ... $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, $\nu_\mu \rightarrow \nu_e$

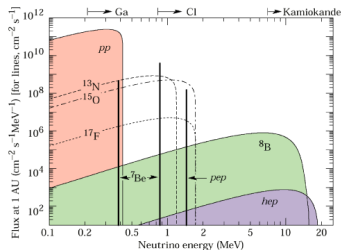
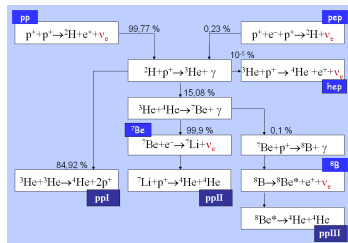
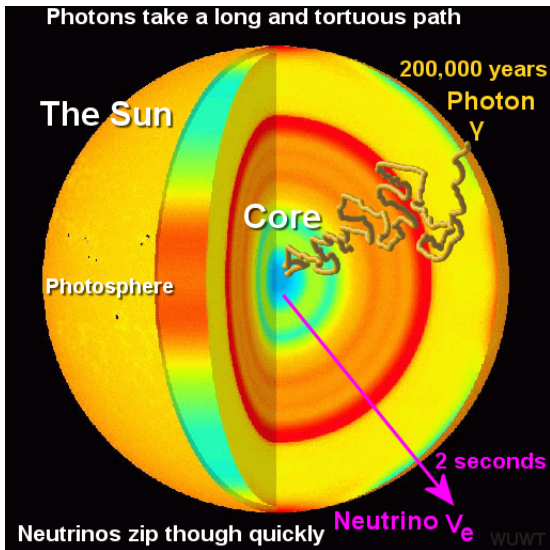


Looks like the LSND anomaly is closed ... ?

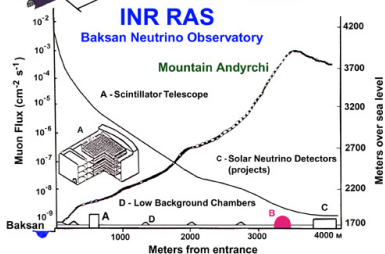
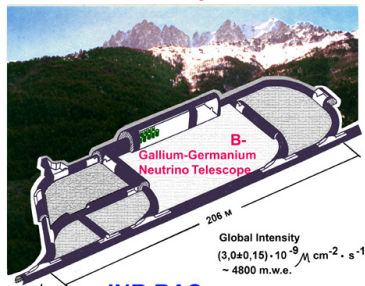
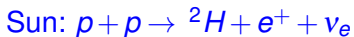
1607.01177



Solar neutrinos: fusion $p + p \rightarrow D + e^+ + \nu_e, \dots$



Measurement of the solar neutrino flux by SAGE



SAGE & GALLEX anomalies in numbers

1710.06326

Sources

 ^{51}Cr

$$E_1 = 0.75 \text{ MeV } (f_1 = 96\%)$$

$$E_2 = 0.43 \text{ MeV } (f_2 = 4\%)$$

 ^{37}Ar

$$E_1 = 0.811 \text{ MeV}$$

$$E_2 = 0.813 \text{ MeV}$$

Experiments

SAGE

source $\approx$ sphere of $r = 6.3 \text{ cm}$
in the center of spherical vessel
 $r_1 = 25.3 \text{ cm}$ and $r_2 = 72.6 \text{ cm}$

GALLEX

source $\approx$ sphere of $r = 0.4 \text{ m}$
in the center of spherical vessel
 $r_1 = 0.45 \text{ m}$ and $r_2 = 2.5 \text{ m}$

$$R^{th} = \frac{1}{r_2 - r_1} \int_{r_1}^{r_2} dr [P(E_1, |\vec{r} - \delta\vec{r}|) f_1 + P(E_2, |\vec{r} - \delta\vec{r}|) f_2]$$

$$R_{\text{SAGE}}^{obs} (^{51}\text{Cr}) = 0.93 \pm 0.12$$

$$R_{\text{SAGE}}^{obs} (^{37}\text{Ar}) = 0.77 \pm 0.09$$

$$R_{\text{GALLEX}}^{obs} (^{51}\text{Cr}) = 0.93 \pm 0.11$$

$$R_{\text{GALLEX}}^{obs} (^{51}\text{Cr}) = 0.80 \pm 0.11$$

The combined fit to SAGE+GALLEX

minimizing

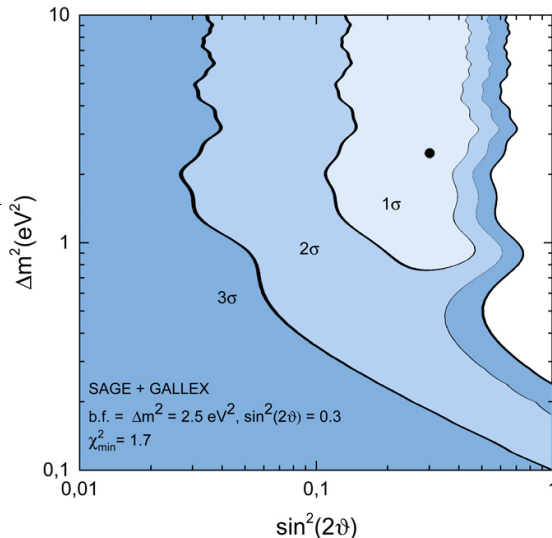
$$\chi^2 = \sum_{i=1}^4 \frac{(R_i^{obs} - R_i^{th}(\Delta m^2, \sin^2 2\theta))^2}{\sigma_{R_i}^2}$$

combined best fit

$$\Delta m^2 \approx 2.5 \text{ eV}^2, \quad \sin^2 \theta \approx 0.3$$

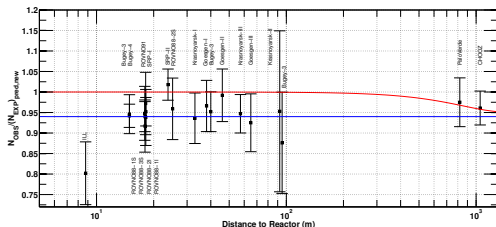
consistent with C.Giunti, M.Lavender (2011)

1710.06326



Reactor anomaly: $\bar{\nu}_e \rightarrow N$?

G.Mention et al. (2011)

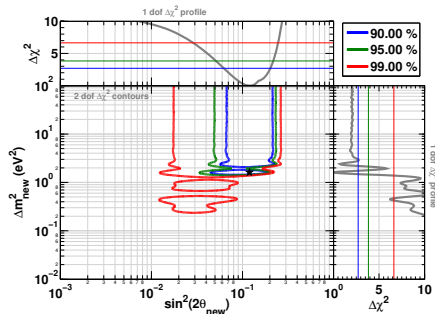


Deficit due to 6% correction to $\bar{\nu}_e$ budget

- new nuclear rates
- new neutron life-time:

$$\tau_n : 926\text{s} \rightarrow 886\text{s}$$

However: the value of uncertainty remains the same,
 $\sim 3\% \dots$



Combined fit to Reactor and Gallium data

Bunch of proposals to test the anomaly...

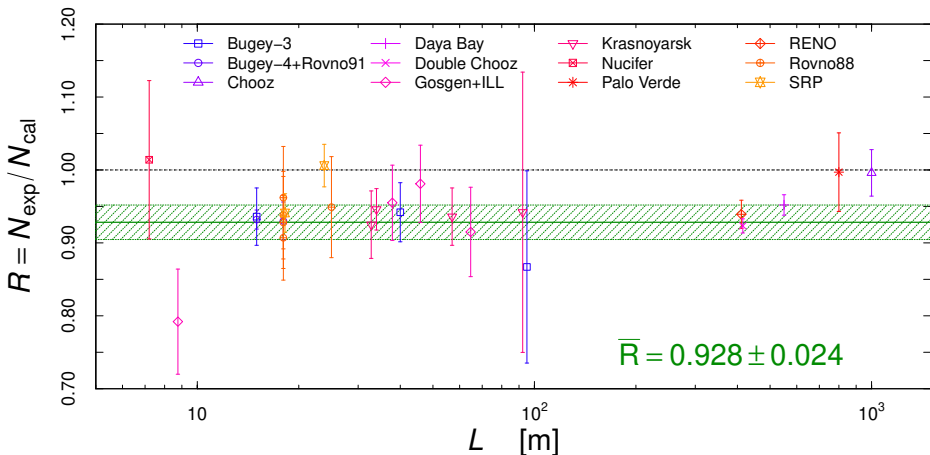
see 1204.5379

Reactor anomaly: disappearance $\bar{\nu}_e \rightarrow N$?

RENO, Daya Bay, Double Chooz

1901.08330

+ unexpected bump at $E_{\bar{\nu}} \simeq 4$ MeV



Reactor anomaly: new comers... new evidence?

NEOS (Korea, 2017-...)

$\bar{\nu}_e$ from 2.8 GW_{th} reactor

detector at $L = 24$ m

events normalized to Daya Bay

spectrum at 550 m

best fit

$$\Delta m^2 \simeq 1.5 \text{ eV}^2, \quad \sin^2 2\theta_{ee} \simeq 0.05$$

DANSS (Russia, 2017-...):

$\bar{\nu}_e$ from 3.1 GW_{th} reactor

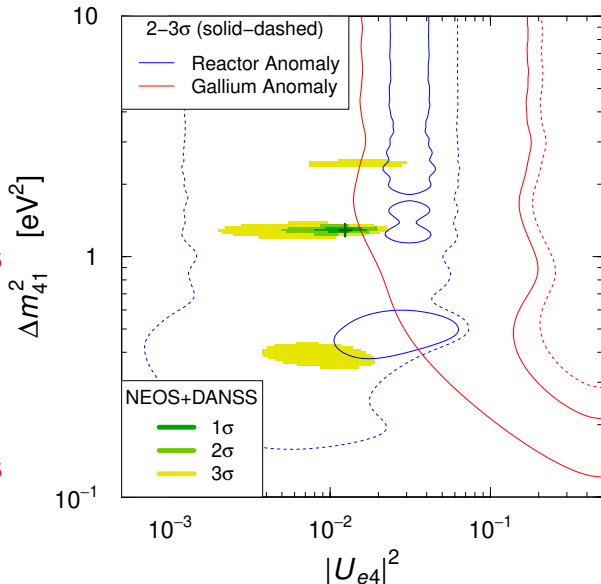
movable detector at

$L = 10.7 - 12.5$ m

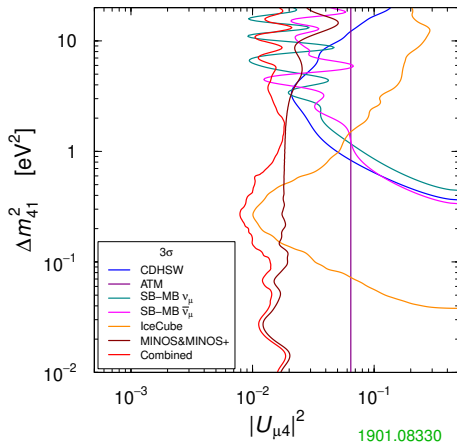
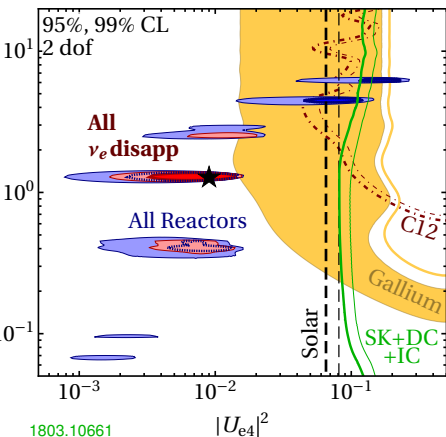
best fit

$$\Delta m^2 \simeq 1.4 \text{ eV}^2, \quad \sin^2 2\theta_{ee} \simeq 0.05$$

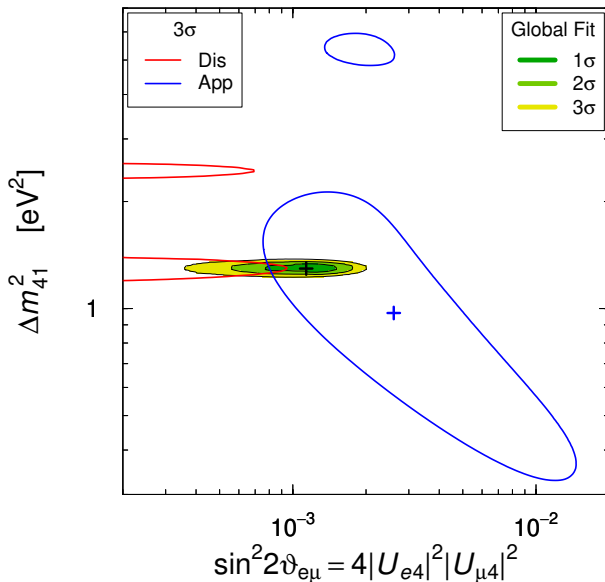
1801.06467



Disappearances of ν_e and $\nu_\mu \dots$



Disappearance vs Appearance: rulling out LSND ??



1901.08330

Reactor anomaly: recent results...

1809.10516

PROSPECT (USA, 2018-...)

85 MW_{th} compact reactor
segmented detector covering
 $L = 6 - 7.5$ m
measures flux ratios

STEREO (France, 2018-...):

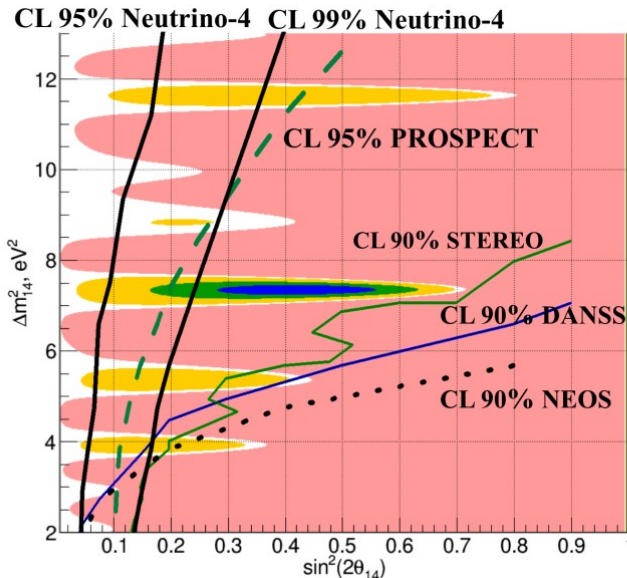
58 MW_{th} compact reactor
segmented detector covering
 $L = 9.4 - 11.1$ m
measures flux ratios

NEUTRINO-4 (Russia, 2018-)

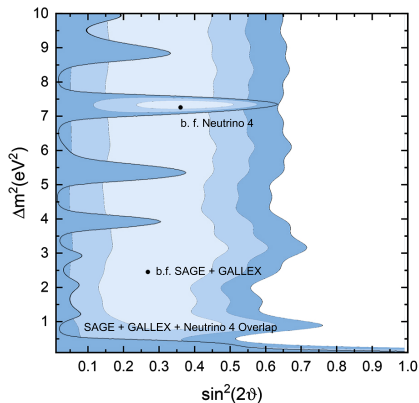
100 MW_{th} extracompact
reactor SM-3 (Dimitrovgrad)
segmented movable detector
 $L = 6 - 12$ m
measures flux ratios
best fit

$$\Delta m^2 \simeq 7.2 \text{ eV}^2$$

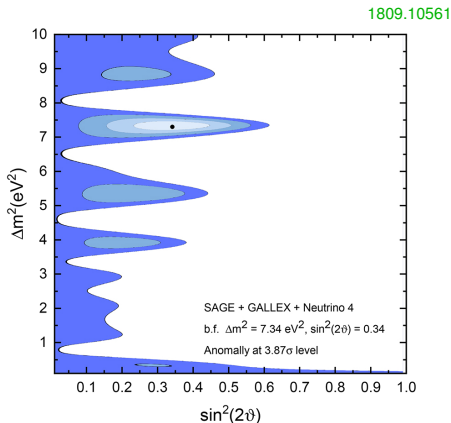
$$\sin^2 2\theta_{ee} \simeq 0.35$$



Consistency of Gallium and NEUTRINO-4 anomalies



1905.07437



1809.10561

almost 4σ anomaly

Problems with reactor experiments

- finite size ΔL_S of antineutrino source (nuclear reactor)
smearing oscillations after averaging over $\Delta L_S \sim L_{osc}$
- finite energy resolution ΔE_D of antineutrino detector
smearing oscillations after averaging over $\Delta E_S \sim L_{osc}$
DANSS: $\Delta E/E = 34\%$ at 1 MeV,
NEUTRINO-4: $\Delta E/E = 16\%$ at 1 MeV
- poor shielding of cosmic background
low signal-to-background ratio
PROSPECT: S/B=1.36,
STEREO: S/B=0.9,
NEUTRINO-4: S/B=0.54

Monochromatic compact source is needed !!



Baksan Experiment on Sterile Transition

proposal: 1006.2103, 1204.5379, ...

artificial dichromatic source:

^{51}Cr of 3 MCi ($\Delta W/W < 0.5\%$)

neutrino flux measurement:

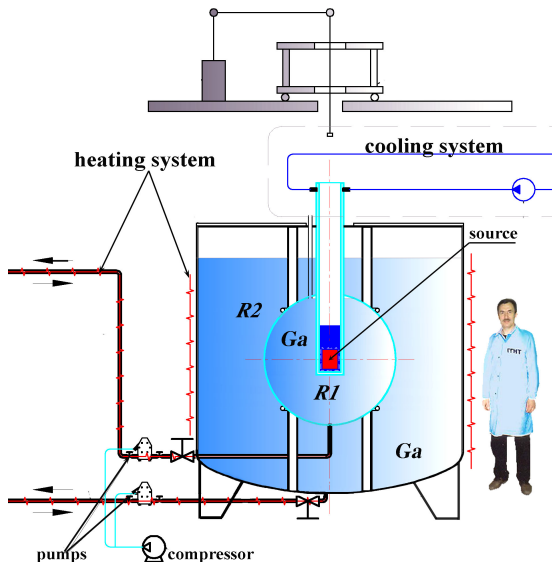
$^{71}\text{Ga} + \nu_e \rightarrow ^{71}\text{Ge} + e^-$

2 detector volumes:
for the flux cross check

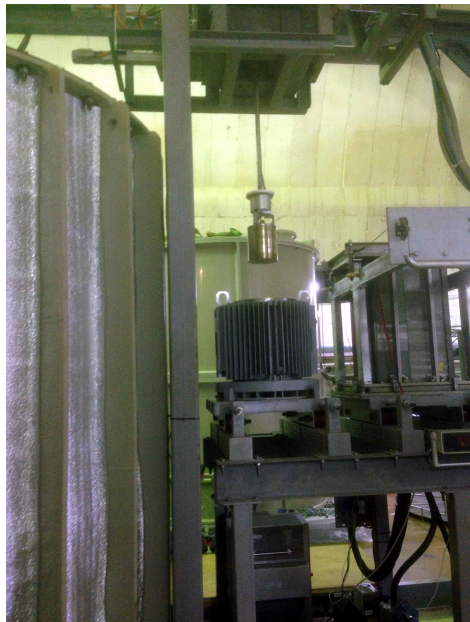
geometry is chosen:
to search for $\simeq 1$ eV neutrino

data taking:
July–September 2019

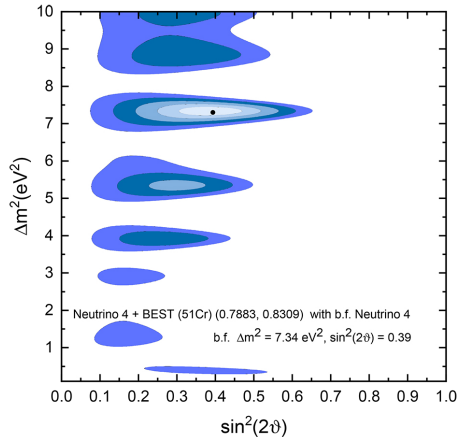
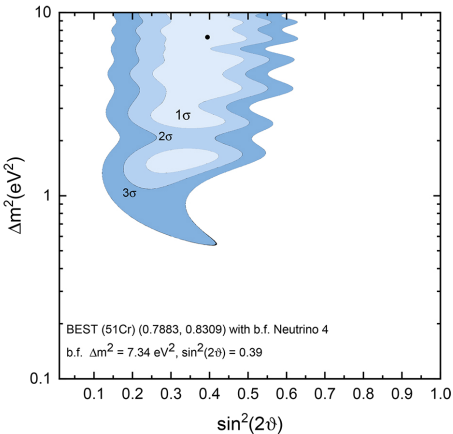
$\tau_{^{51}\text{Cr}} = 27.7\text{d}$







If NEUTRINO-4 confirmed



1905.07437

It will be 5σ discovery

Summary on light sterile neutrinos

- Introducing sterile neutrinos is the most economic explanation of neutrino oscillations within renormalizable approach
- 1 eV- sterile neutrinos are required to explain ν anomalies
- with a little modification can be consistent with standard Λ CDM cosmology
- there are many issues in reactor neutrino anomaly. . .
DANSS changed results (2019)

$$\Delta m^2 = 1.4 \text{ eV}^2, \sin^2 2\theta = 0.05 \longrightarrow \Delta m^2 = 0.35 \text{ eV}^2, \sin^2 2\theta = 0.11$$

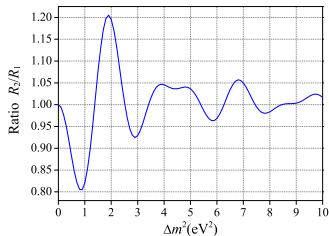
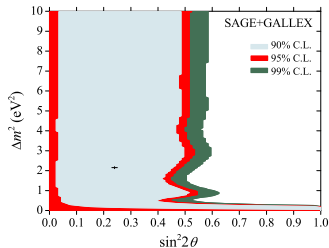
which is consistent (2σ) with Gallium anomaly

- Neutrino-4 is consistent with Gallium anomaly (together $\approx 4\sigma$)
- BEST is testing all these hypotheses right now
final results in 2021

stay tuned

Backup slides

Gallium anomaly: SAGE and GALLEX, $\nu_e \rightarrow N$?

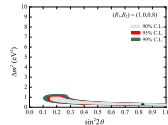
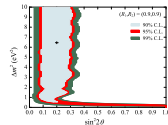
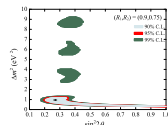
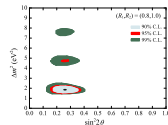
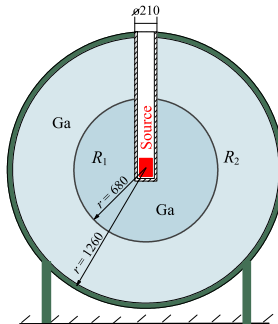


for $\sin^2 2\theta = 0.3$

$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
due to ^{51}Cr and ^{37}Ar sources

$$\frac{N_{obs}}{N_{exp}} = 0.87 \pm 0.05$$

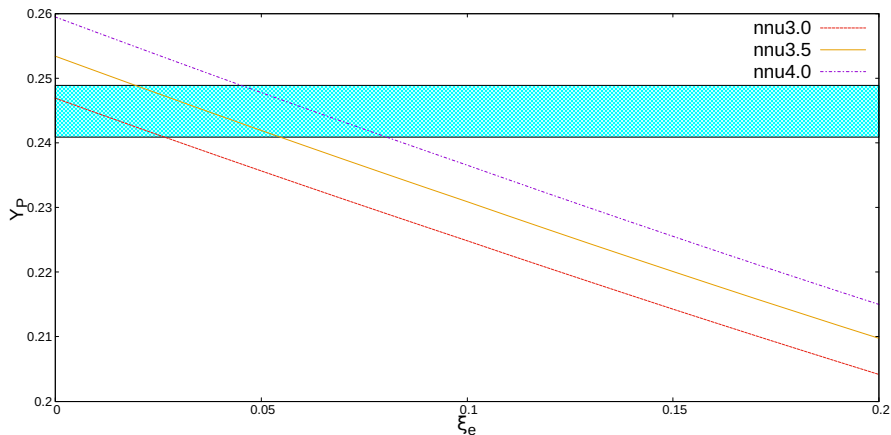
SAGE proposal of the test: 1006.2103



intensive ν -source ^{51}Cr

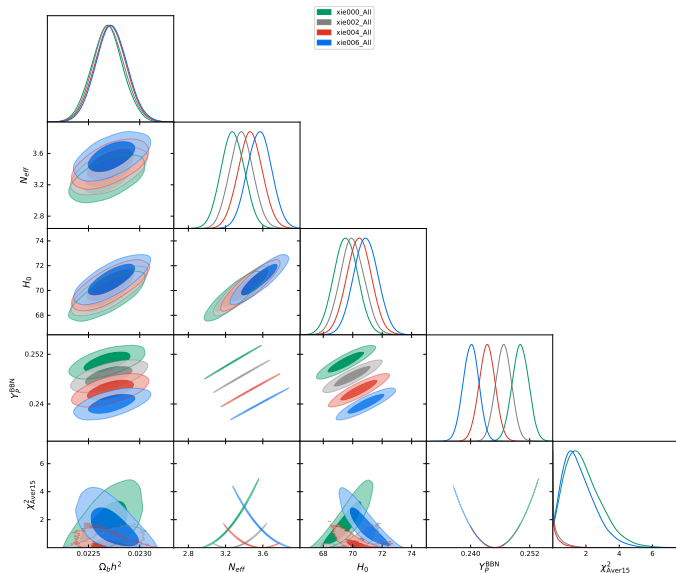
BBN: extra-radiation and lepton asymmetry

2104.04381



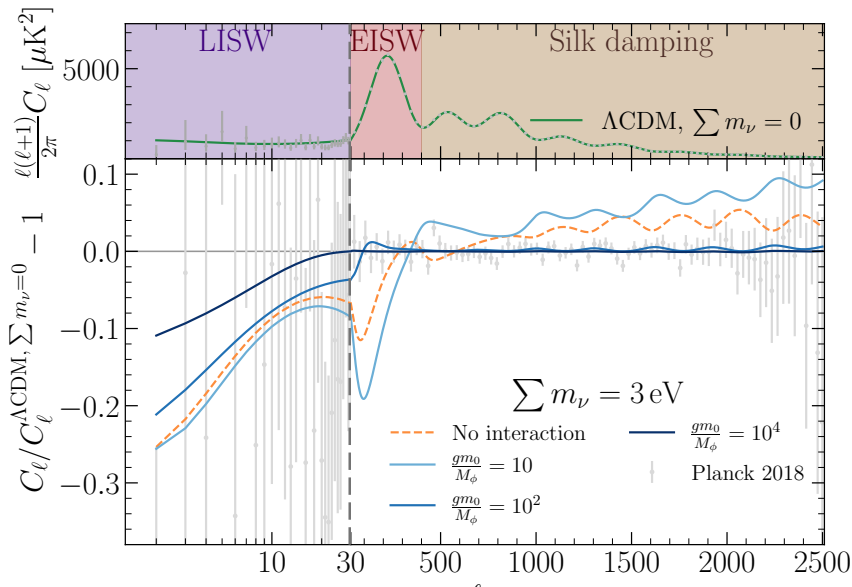
Hubble: extra-radiation and lepton asymmetry

2104.04381



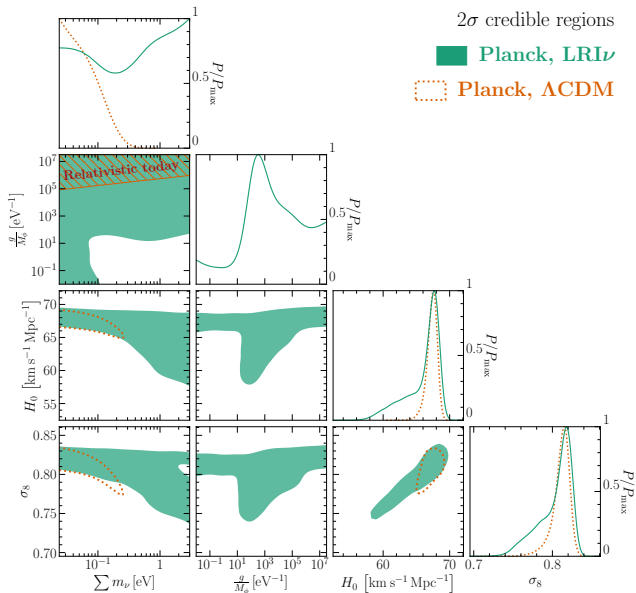
CMB: 'heavy' neutrinos with long-range force

2101.05804



CMB: 'heavy' neutrinos with long-range force

2101.05804



CMB: 'heavy' neutrinos with long-range force

2101.05804

