

Competition between superconductivity and topological Kondo effect in Majorana devices

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Topological States of matter
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Outline

Localized Majorana modes and the topological Kondo model

Topological Kondo effect in the presence of pairing interactions

Perturbative results

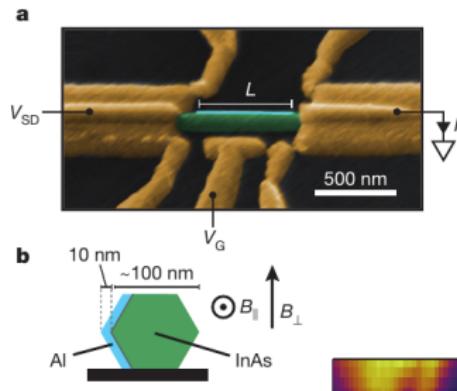
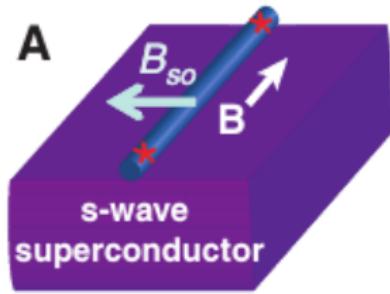
The Kondo fixed point

The Josephson current

Ingredients:

Lutchyn et al. PRL 105, 077001 (2010); Oreg et al. PRL 105, 177002 (2010);

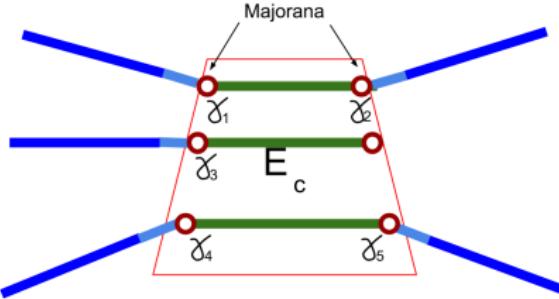
- ▶ nanowire with strong spin-orbit coupling (InAs, InSb)
- ▶ BCS superconductor (Nb, Al) $\Delta \sim 180\mu eV$
- ▶ magnetic field $B \sim 110mT$
- ▶ chemical potential $\mu(x)$
- ▶ $T \sim 50mK$



Mourik et al. Science 336, 1003 (2012); Rokhinson et al. Nat. Phys. 8, 795 (2012); Das et al. Nat. Phys. 8, 887 (2012); Deng et al. Nano Lett., 12, 6414 (2012); Churchill et al. PRB 87, 241401(R) (2013); Finck et al. PRL 110, 126406 (2013); Deng et al. Sc. Rep. 4, 7261 (2014); Nadj-Perge et al. Science 346, 602 (2014); Albrecht et al. Nature 531, 206 (2016)

The Majorana-Coulomb box

- ▶ Majorana end modes γ_α : $\{\gamma_\alpha, \gamma_\beta\} = 2\delta_{\alpha,\beta}$



- ▶ Spinless fermions in semi-infinite M one-dimensional wires

$$H_w = -i \sum_{\alpha=1}^M \int dx \left(\psi_{\alpha,R}^\dagger(x) \partial_x \psi_{\alpha,R}(x) - \psi_{\alpha,L}^\dagger(x) \partial_x \psi_{\alpha,L}(x) \right)$$

open boundary condition at one end: $\psi_{\alpha,R}(0) = \psi_{\alpha,L}(0)$

- ▶ Floating superconductor, large charging energy $E_c \gg \Delta, T$
- ▶ $2^{\lceil M/2 \rceil - 1}$ degeneracy \rightarrow localized degree of freedom

The topological Kondo model

- ▶ Coupling between M Majorana modes and M external wires

Fu - PRL 104, 056402 (2010) ; Law et al. PRL 103, 237001 (2009); Zazunov et al. PRB 84 165440 (2011)

Effective model at $T \ll \Delta, E_c$:

$$H = -i \sum_{\alpha=1}^M \int dx \psi_\alpha^\dagger(x) \partial_x \psi_\alpha(x) + \sum_{\alpha \neq \beta} \lambda_{\alpha,\beta} \gamma_\alpha \gamma_\beta \psi_\alpha^\dagger(0) \psi_\beta(0)$$
$$\lambda_{\alpha,\beta} \sim \lambda_\alpha \lambda_\beta / E_c$$

Béri and Cooper PRL 109:156803 (2012); Altland and Egger PRL 110, 196401; Béri PRL 110, 216803 (2013)

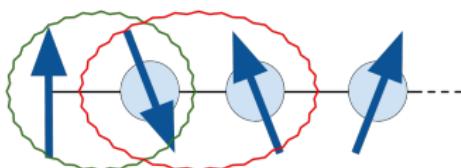
RG analysis:

- ▶ Unstable fixed point at $\lambda = 0$
- ▶ Strong coupling isotropic point $\rightarrow SO(M)_2$
 - ▶ Conductance $G_{j,k} = \frac{2e^2}{h} \frac{1}{M}$
 - ▶ $SO(3)_2 \sim SU(2)_4$
 - ▶ Stable against anisotropy in $\lambda_{\alpha,\beta}$
- ▶ Crossover temperature:

$$T_K \sim E_c e^{-\frac{\pi}{2\lambda(M-2)}}$$

Kondo vs. BCS

Magnetic impurity in a BCS superconductor



- ▶ Transition temperature, density of states

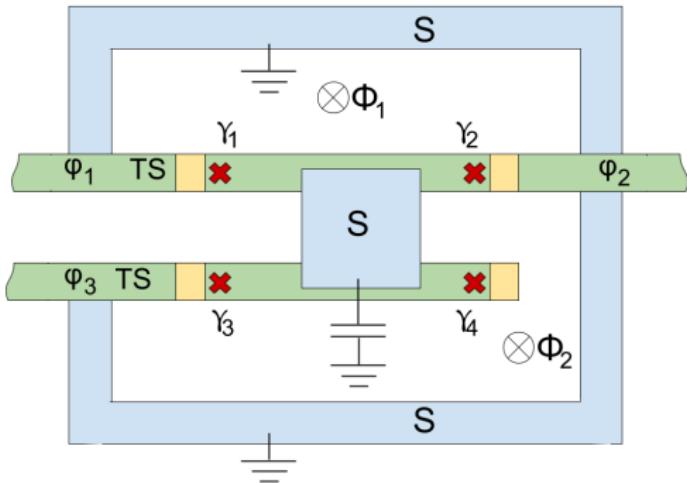
Abrikosov and Gorkov JETP 12 (1961), 1243; Zittartz and Müller-Hartmann Z. Physik 232, 11 (1970)

- ▶ Competition between pairing Δ and T_K in the Josephson effect.

Glazman et al. JETP 49, 570 (1989); Siano et al. PRL 93, 047002 (2004); Karrasch et al. PRB 77, 024517 (2008); van Dam et al., Nat. Lett. 442, 667 (2006); Eichler et al. PRB 79, 161407(R) (2009)

Question: what happens to the “topological” Kondo effect when we add pairing interactions in the leads?

The device



- ▶ M 1D topological superconductors:

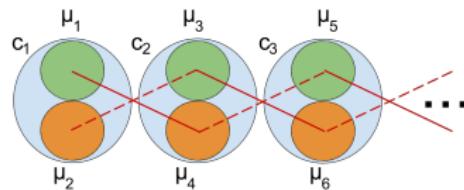
$$H_\alpha = \int_0^\infty dx \Psi_\alpha^\dagger(x) [-i\partial_x \sigma_z + \Delta_\alpha e^{-i\varphi_\alpha \sigma_z} \sigma_y] \Psi_\alpha(x) \quad \Psi_\alpha = \begin{pmatrix} \psi_{\alpha,R} \\ \psi_{\alpha,L}^\dagger \end{pmatrix}$$

- ▶ Majorana island with large E_c
- ▶ Effective tunneling $H_K = \sum_{\alpha \neq \beta} \lambda_{\alpha,\beta} \gamma_\alpha \gamma_\beta \psi_\alpha^\dagger(0) \psi_\beta(0)$

Lattice description

Kitaev chain:

$$H_\alpha = - \sum_{j=1}^{L-1} \left[\frac{t}{2} (c_{j,\alpha}^\dagger c_{j+1,\alpha} + c_{j+1,\alpha}^\dagger c_{j,\alpha}) + \frac{\Delta}{2} (e^{i\phi_\alpha} c_{j,\alpha} c_{j+1,\alpha} + e^{-i\phi_\alpha} c_{j+1,\alpha}^\dagger c_{j,\alpha}^\dagger) \right]$$



Majorana representation $2c_{j,\alpha} = \tilde{\mu}_{2j-1,\alpha} + i\tilde{\mu}_{2j,\alpha}$

$$H_\alpha = -i \sum_{j=1}^{L-1} \left((t + \Delta \cos \phi_\alpha) \tilde{\mu}_{2j-1,\alpha} \tilde{\mu}_{2j+2,\alpha} - (t - \Delta \cos \phi_\alpha) \tilde{\mu}_{2j,\alpha} \tilde{\mu}_{2j+1,\alpha} \right. \\ \left. + \Delta \sin \phi_\alpha (\tilde{\mu}_{2j-1,\alpha} \tilde{\mu}_{2j+1,\alpha} - \tilde{\mu}_{2j,\alpha} \tilde{\mu}_{2j+2,\alpha}) \right)$$

Localized Majorana modes



Kitaev Usp. Fiz. 44, 131 (2001)

Perturbative RG

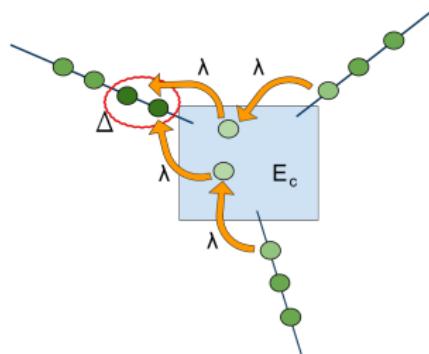
- Field theory description ($T \rightarrow 0, L \rightarrow \infty$). Boundary Green's function:

$$iG_\alpha(\omega) = \begin{pmatrix} \frac{\sqrt{\omega^2 + \Delta_\alpha^2}}{\omega} & \frac{\Delta_\alpha}{\omega} e^{i\phi_\alpha} \\ \frac{\Delta_\alpha}{\omega} e^{-i\phi_\alpha} & \frac{\sqrt{\omega^2 + \Delta_\alpha^2}}{\omega} \end{pmatrix}$$

Zazunov et al. PRB 94 014502 (2016)

- “Crossed Andreev reflection” generated by the RG

$$\mu_{\alpha,\beta} \gamma_\beta \gamma_\alpha \psi_\alpha \psi_\beta + \mu_{\alpha,\beta}^* \gamma_\beta \gamma_\alpha \psi_\alpha^\dagger \psi_\beta^\dagger$$



RG equations

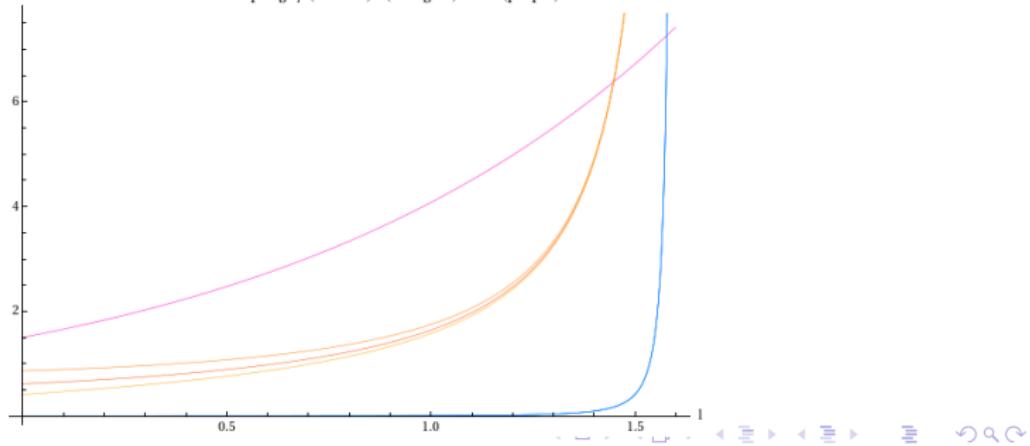
Running couplings: $\mu_{\alpha,\beta}(I)$, $\lambda_{\alpha,\beta}(I)$, $\delta(I) = \frac{\Delta}{\omega_c}$, $I = \log \frac{E_c}{\omega_c}$

$$\frac{d\lambda_{jk}}{dl} = \sum_{m \neq (j,k)}^M \left[\rho_{1,1} (\lambda_{jm}\lambda_{mk} + \mu_{jm}\mu_{mk}^*) + \rho_{1,2} (\lambda_{jm}\mu_{mk}^* + \mu_{jm}\lambda_{mk}) \right]$$

$$\frac{d\mu_{jk}}{dl} = \sum_{m \neq (j,k)}^M \left[\rho_{1,2} (\lambda_{jm}\lambda_{mk}^* + \mu_{jm}\mu_{mk}) + \rho_{1,1} (\lambda_{jm}\mu_{mk} + \mu_{jm}\lambda_{mk}^*) \right]$$

$$\rho_{1,1} = \frac{2}{\pi} \sqrt{1 + \delta^2} \quad \rho_{1,2} = \frac{2}{\pi} \delta$$

Flow of the couplings μ (blueish), λ (orangish) and Δ (purple)



The Kondo temperature and the gap

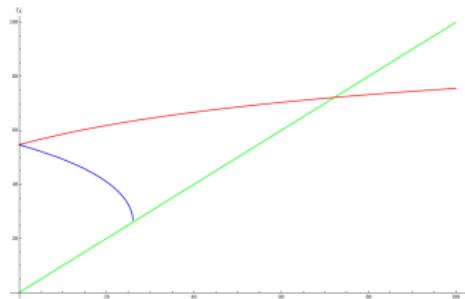
Define $\hat{\Lambda}_{\pm} = \hat{\lambda} \pm \hat{\mu}$

$$\frac{d}{dl} \hat{\Lambda}_{\pm} = \hat{\Lambda}_{\pm} (\hat{\rho}_{1,1} \pm \hat{\rho}_{2,1}) \hat{\Lambda}_{\pm}$$

Kondo temperature T_K^{\pm} : divergence of the running coupling Λ_{\pm}

$$\hat{\Lambda}_{\pm}(l) = \frac{\bar{\lambda}_0}{1 - \frac{2(M-2)}{\pi} \bar{\lambda}_0 \mathcal{F}_{\pm}(l)}$$

- $\Delta \ll E_c, T_K$: $\frac{T_K^{\pm}}{E_c} \simeq e^{-\frac{\pi}{2\lambda_0(M-2)}} \pm \frac{\Delta}{E_c} \left(1 - e^{-\frac{\pi}{2\lambda_0(M-2)}}\right)$



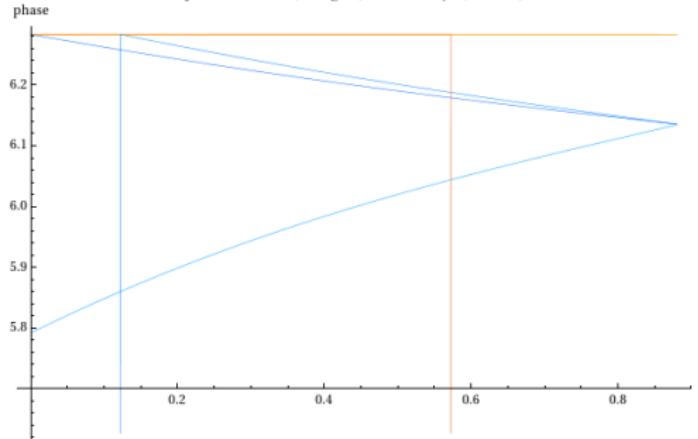
- $T_K \ll E_c, \Delta$: no solution

Phase flow

Parametrization:

$$\lambda_{\alpha,\beta} = \tilde{\lambda}_{\alpha,\beta} e^{i\eta_{\alpha,\beta}} \quad \mu_{\alpha,\beta} = \tilde{\mu}_{\alpha,\beta} e^{i\theta_{\alpha,\beta}}$$

phase of the λs (orangish) and of the μs (blueish)



Flow of the phases ($\tilde{\lambda}_{j,k} \simeq \tilde{\mu}_{j,k} \simeq \bar{\lambda}$):

$$\frac{1}{2\bar{\lambda}} \frac{d\eta_{\alpha,\beta}}{dl} = \sum_{\chi \neq \alpha, \beta} \sin \left(\frac{\eta_{\alpha,\chi} + \eta_{\chi,\beta} + \theta_{\alpha,\chi} - \theta_{\chi,\beta}}{2} - \eta_{\alpha,\beta} \right) \\ \left(\rho_{1,1} \cos \frac{\eta_{\alpha,\chi} + \eta_{\chi,\beta} - \theta_{\alpha,\chi} + \theta_{\chi,\beta}}{2} + |\rho_{1,2}| \cos \left(\frac{\eta_{\alpha,\chi} - \theta_{\chi,\beta} - \theta_{\alpha,\chi} - \eta_{\chi,\beta}}{2} + \phi_\chi \right) \right)$$

$$\frac{1}{2\bar{\lambda}} \frac{d\theta_{\alpha,\beta}}{dl} = \sum_{\chi \neq \alpha, \beta} \sin \left(\frac{\eta_{\alpha,\chi} + \theta_{\chi,\beta} + \theta_{\alpha,\chi} + \eta_{\beta,\chi}}{2} - \theta_{\alpha,\beta} \right) \\ \left(\rho_{1,1} \cos \frac{\eta_{\alpha,\chi} + \theta_{\chi,\beta} - \theta_{\alpha,\chi} - \eta_{\beta,\chi}}{2} + |\rho_{1,2}| \cos \left(\frac{\eta_{\alpha,\chi} + \eta_{\beta,\chi} - \theta_{\alpha,\chi} - \theta_{\chi,\beta}}{2} + \phi_{\chi} \right) \right)$$

Phases at strong coupling

Focus on $M = 3$ wires

- ▶ Observation $\frac{d\eta_{\alpha,\beta}}{dl} \rightarrow 0$ and $\frac{d\theta_{\alpha,\beta}}{dl} \rightarrow 0$

$$\eta_{1,2} + \eta_{2,3} + \eta_{3,1} = 0$$

$$\theta_{1,3} - \theta_{1,2} = -\eta_{2,3}$$

$$\theta_{2,3} - \theta_{1,2} = \eta_{3,1}$$

satisfied by

$$\eta_{j,k} = 0 \quad \theta_{j,k} = \theta_0$$

- ▶ Gauge invariance + symmetry $\rightarrow \theta_0 = \frac{\phi_1 + \phi_2 + \phi_3}{3}$
- ▶ Strong coupling Hamiltonian:

$$H_K^{scfp} = \frac{1}{2} \Lambda_+ \sum_{\alpha \neq \beta} \gamma_\beta \gamma_\alpha \tilde{\mu}_{1,\alpha} \tilde{\mu}_{1,\beta}$$

$$\tilde{\mu}_{1,\alpha} = \frac{1}{\sqrt{2}} \left(e^{-i \frac{\theta_0}{2}} c_{1,\alpha}^\dagger + e^{i \frac{\theta_0}{2}} c_{1,\alpha} \right)$$

→ 2 channel Kondo model

The strong coupling fixed point

Coleman et al: PRB 52, 6611 (1995); Tsvelik PRL 110, 147202, (2013); Giuliano et al. NPB 909, 135 (2016)

- ▶ Add a fourth Kitaev chain $H_0(\phi_0, \Delta)$, which stays decoupled from the system
- ▶ Define $d_{j,\uparrow} = \tilde{\mu}_{j,1} + i\tilde{\mu}_{j,2}$, $d_{j,\downarrow} = \tilde{\mu}_{j,3} + i\tilde{\mu}_{j,0}$

$$H = -t \sum_{j=1}^{L-1} \sum_{\sigma=\uparrow,\downarrow} \left(d_{2j-1,\sigma}^\dagger d_{2j+2,\sigma} + d_{2j,\sigma}^\dagger d_{2j+1,\sigma} \right) - \Delta \dots$$

- ▶ Kondo term

$$H_K = \Lambda_+ \vec{S} \cdot \mathcal{D}_1^\dagger (\vec{\sigma} + \vec{\tau}) \mathcal{D}_1$$

$$S^a = \frac{1}{2i} \varepsilon^{\alpha,\beta,\eta} \gamma_\beta \gamma_\eta$$

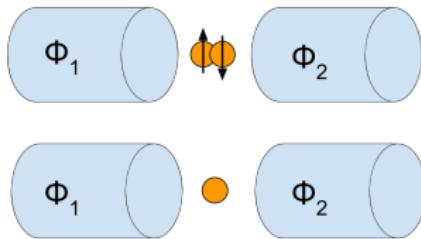
$$\mathcal{D}_j^\dagger = (d_{j,\uparrow}^\dagger, d_{j,\downarrow}^\dagger, d_{j,\downarrow}, -d_{j,\uparrow}) \quad \sigma^a = \sigma_{Pauli}^a \otimes \mathbb{I} \quad \tau^a = \mathbb{I} \otimes \sigma_{Pauli}^a$$

$$d_{j,\uparrow}^\dagger |0\rangle \rightarrow \{\sigma = \pm 1, \tau = 0\} \quad d_{j,\uparrow}^\dagger d_{j,\downarrow}^\dagger |0\rangle \rightarrow \{0, 1\} \quad |0\rangle \rightarrow \{0, -1\}$$

Strong coupling analysis

Open questions:

- ▶ Is the 2CK the low- T fixed point?
- ▶ How does the Majorana interact with the rest of the system?
- ▶ What is the Josephson current?
 - ▶ Fractional Josephson effect is a signature of Majorana modes



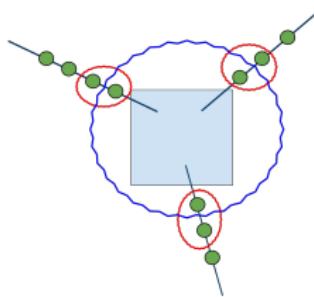
Kitaev Usp. Fiz. 44, 131 (2001); Kwon et al. Low T Phys. 30, 613 (2004); Fu and Kane PRB 79, 161408(R) (2009)

- ▶ Detection

Rokhinson et al. Nat. Phys. 8, 795 (2012); Bocquillon et al. Nnano 159 (2016)

The Majorana fermion $\tilde{\mu}_1$ and the island “spin” \vec{S} are strongly entangled. What else is competing?

Competing interactions around the SCFP



- ▶ Subleading Kondo interaction:

$$H_K = \Lambda_+ \vec{S} \cdot \mathcal{D}_1^\dagger (\vec{\sigma} + \vec{\tau}) \mathcal{D}_1 + \Lambda_- \vec{S} \cdot \mathcal{D}_2^\dagger (\vec{\sigma} + \vec{\tau}) \mathcal{D}_2$$

- ▶ Interaction of the boundary with the bulk

$$H_r = -i \left(t + \Delta \cos \tilde{\phi}_\alpha \right) \tilde{\mu}_{1,\alpha} \tilde{\mu}_{4,\alpha} - i \Delta \sin \tilde{\phi}_\alpha \tilde{\mu}_{1,\alpha} \tilde{\mu}_{3,\alpha}$$

$$\tilde{\phi}_\alpha \equiv \phi_\alpha - \frac{\phi_1 + \phi_2 + \phi_3}{3}$$

Around the fixed point

- ▶ Ground state manifold

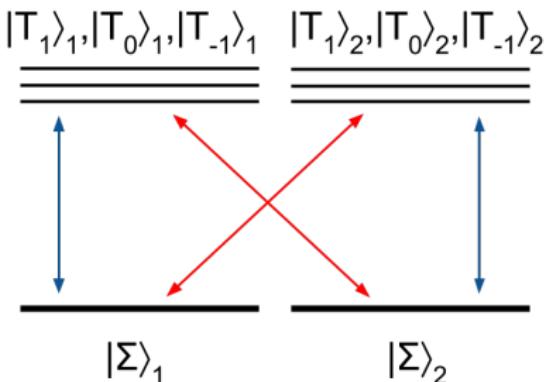
$$|\Sigma_1\rangle = \frac{1}{\sqrt{2}} \left(d_{1,\uparrow}^\dagger |\downarrow\rangle - d_{1,\downarrow}^\dagger |\uparrow\rangle \right) \quad |\Sigma_2\rangle = \frac{1}{\sqrt{2}} \left(d_{1,\uparrow}^\dagger d_{1,\downarrow}^\dagger |\downarrow\rangle - |\uparrow\rangle \right)$$

- ▶ Excited state manifold

$$|T_1\rangle_1 = d_{1,\uparrow}^\dagger |\uparrow\rangle \quad |T_0\rangle_1 = \frac{1}{\sqrt{2}} \left(d_{1,\uparrow}^\dagger |\downarrow\rangle + d_{1,\downarrow}^\dagger |\uparrow\rangle \right) \quad |T_{-1}\rangle_1 = d_{1,\downarrow}^\dagger |\downarrow\rangle$$

$$|T_1\rangle_2 = d_{1,\uparrow}^\dagger d_{1,\downarrow}^\dagger |\uparrow\rangle \quad |T_0\rangle_1 = \frac{1}{\sqrt{2}} \left(d_{1,\uparrow}^\dagger d_{1,\downarrow}^\dagger |\downarrow\rangle + |\uparrow\rangle \right) \quad |T_{-1}\rangle_1 = |\downarrow\rangle$$

- ▶ Transitions



The strong coupling Hamiltonian

We write an effective Hamiltonian that takes into account the transitions to excited states:

- ▶ To second order $\vec{S} \cdot (\vec{\sigma}_2 + \vec{\tau}_2)$, H_r
- ▶ To third order H_r^3

$$\begin{aligned} H_{sc} \sim & \left(-\frac{3\Lambda_-^2}{4\Lambda_+} - \frac{3(\Delta^2 + t^2)}{4\Lambda_+} - \frac{\Delta t}{2\Lambda_+} \sum_{\alpha} \cos \tilde{\phi}_{\alpha} \right) \mathbb{I} \\ & + \frac{\Lambda_-}{\Lambda_+} \gamma_0 \left[t \tilde{\mu}_{4,\alpha} + \Delta \left(\sin \tilde{\phi}_{\alpha} \tilde{\mu}_{3,\alpha} + \cos \tilde{\phi}_{\alpha} \tilde{\mu}_{4,\alpha} \right) \right] \tilde{\mu}_{2,\beta} \tilde{\mu}_{2,\gamma} + cycl. \\ & + \frac{1}{\Lambda_+^2} \gamma_0 \prod_{\alpha=1}^3 \left[\left(t + \Delta \cos \tilde{\phi}_{\alpha} \right) \tilde{\mu}_{4,\alpha} + \Delta \sin \tilde{\phi}_{\alpha} \tilde{\mu}_{3,\alpha} \right] \end{aligned}$$

$$\gamma_0 = i \tilde{\mu}_{1,1} \tilde{\mu}_{2,1} \tilde{\mu}_{3,1}$$

- ▶ The perturbing operator is irrelevant

Josephson current

- Field theory, $T \ll T_K$, $t \gg \Delta$

$$c_{\alpha,j} \rightarrow \eta_\alpha(x) + i\xi_\alpha(x)$$

- Boundary conditions $\eta(-x) = -\eta(x)$, $\xi(-x) = \xi(x)$

$$\langle T_\tau \eta_\alpha(\tau) \eta_\beta(0) \rangle = \langle T_\tau \xi_\alpha(\tau) \xi_\beta(0) \rangle = -\delta_{\alpha,\beta} \partial_\tau f(\tau)$$

$$\langle T_\tau \eta_\alpha(\tau) \xi_\beta(0) \rangle = -\langle T_\tau \xi_\alpha(\tau) \eta_\beta(0) \rangle = -i\delta_{\alpha,\beta} \cos \tilde{\phi}_\alpha f(\tau)$$

where $f(\tau) = \int_0^{T_K} \frac{d\omega}{2\pi} \frac{Q_\omega \cos(\omega\tau)}{\sqrt{\omega^2 + \Delta^2}}$ $Q_\omega = 1 - e^{-\sqrt{\omega^2 + \Delta^2}/T_K}$

Free energy variation

$$I_\alpha \propto \frac{2e}{\hbar} \frac{\partial}{\partial \phi_\alpha} \lim_{T \rightarrow 0} F(T)$$

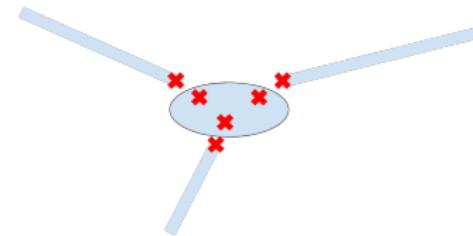
$$= \frac{I_0}{3} \sum_{\beta \neq \eta (\neq \alpha)}^3 \left(3 \sin(\phi_\alpha - \phi_\beta) + \sin \frac{\phi_\alpha + \phi_\beta - 2\phi_\eta}{3} - \sin \frac{\phi_\beta + \phi_\eta - 2\phi_\alpha}{3} \right)$$

$$I_0 = \frac{e\Delta^2 \zeta}{T_K \hbar} \quad \zeta = \frac{1}{3} \Lambda_-^2 f(0)^3$$

6π-periodicity.

The strong pairing limit

Pairing is very large: $\Delta \gg T_K$.



$$H_K = \sum_{\alpha < \beta} \lambda_{\alpha, \beta} \sqrt{\Delta_\alpha \Delta_\beta} \gamma_\beta \gamma_\alpha \left(\xi_\alpha \xi_\beta e^{i \frac{\phi_\alpha - \phi_\beta}{2}} - \xi_\beta \xi_\alpha e^{-i \frac{\phi_\alpha - \phi_\beta}{2}} \right)$$

Dirac fermion $d_\alpha = \frac{\xi_\alpha + i\gamma_\alpha}{2}$ and $\sigma_\alpha = 2d_\alpha^\dagger d_\alpha - 1$
Josephson current

$$I_\alpha = \frac{e}{\hbar} \sum_{\beta \neq \alpha} \lambda_{\alpha, \beta} \sqrt{\Delta_\alpha \Delta_\beta} \sigma_\alpha \sigma_\beta \sin \frac{\phi_\alpha - \phi_\beta}{2}$$

4π-periodicity

Conclusions

- ▶ Model involving Majorana modes in solid state devices, realistically realizable in the lab
- ▶ For $M = 3$, the pairing interactions drive the system to a different (NFL) fixed point
- ▶ Josephson current periodicity is a multiple of 2π
- ▶ Competition between characteristic energy scales creates two distinct regimes

To-do list:

- ▶ Characterization of the transition from one regime to the other
- ▶ More wires $M > 3$
- ▶ Experiment

A. Zazunov, F. B., P. Sodano and R. Egger, Phys. Rev. Lett. 118, 057001 (2017), arXiv:1611.07307